

# Long-term Effects from Early Exposure to Research: Evidence from the NIH “Yellow Berets”

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## Abstract

Can a relatively short but intense exposure to frontier research alter the career trajectories of potential innovators? To answer this question, we study the careers and productivity of 3,075 medical school graduates who applied to the Associate Training Programs (ATP) of the National Institutes of Health (NIH) during the turbulent period of the Vietnam War, 1965-1975. Carefully selecting on observables, we compare physicians who attended the program to those who passed a first admission screen but were ultimately not selected. We find that program participants were more likely to initially enter academic medicine, and less likely to switch to purely clinical endeavors as their careers unfolded. Over the life cycle, NIH trainees also garnered publications, citations, and grant funding at a much higher rate than synthetic controls. The direction of their research efforts was also durably imprinted by their training experience. In particular, NIH trainees appear to have acquired a distinct “translational” style of biomedical research which became an implicit training model for physician-scientists as ATP alumni came to occupy the commanding heights of academic medicine throughout the United States.

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“[The ATP] did not help [my career], it made it. . . I followed a pathway that was a combination of hard work, some talent and being in the right place at the right time. . . None of that would have happened had I not come down here as a Clinical Associate. . . [I would have] gone to Vietnam for a few years in the Navy, [and then] I would have probably returned to New York Hospital. I would probably be practicing medicine right now on 69<sup>th</sup> Street and First Avenue. The Clinical Associate program put me on a career track that I am still on.”

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ANTHONY FAUCI, DIRECTOR, NIAID  
*Oral History (1998)*

# 1 Introduction

It has become a truism among economists and policy-makers that innovation and technological advances are a key determinant of economic growth (Solow 1957, Romer 1990, Aghion and Howitt 1992). But innovation is fundamentally constrained by the supply of innovators—those individuals whose skills and knowledge put them at risk of bringing forth a useful “new-to-the-world” idea. Innovators are made, rather than simply born, and growth possibilities are shaped by the institutions, incentives, and norms that nudge would-be innovators to receive the training necessary to bring themselves to the frontier. Indeed, over the past century, macro evidence suggests that only by steadily increasing the number of workers engaged in formal R&D activities has a steady growth rate in income per capita been sustained (Jones 1995).

In the medium run at least, designing institutions that might increase the supply of potential innovators is therefore of crucial policy importance. Yet, severe headwinds frustrate efforts to broaden the innovator pipeline. First, because scientific and engineering training is protracted, individual career choices are often shrouded in uncertainty, both with respect to the monetary payoffs and the direction of human capital investments likely to earn the best labor market returns. Witness, for example, the dismal track record of “manpower analysis” and the perennially flawed predictions of “innovator shortage” (Freeman 1975, Teitelbaum 2014). Second, innovative careers are fragile (Milojevic et al. 2018) both because of the winner-take-most aspect of the scientific reward system (Allison and Stewart 1974), and because skills at the frontier depreciate rapidly, leading many initial entrants to abandon the idea sector and reenter the production sector (Deming and Noray 2018). Third, especially for countries with domestic training capabilities, restrictions on high-skilled immigration can act as a brake on plugging leaks in the innovator pipeline (Kerr and Lincoln 2010). As a

result of these headwinds and the elimination of mandatory retirement in academia in the mid-1990s, the scientific workforce is aging rapidly (Blau and Weinberg 2017).

Despite the paucity of research examining the allocation of talent to innovative activities, some recent evidence points to an important friction, that of exposure to research during an individual’s formative years. In a telling anecdote, Ellenberg (2018) recounts how pro-footballer turned Math PhD student John Urschel’s athletic prowess was identified and nurtured from a young age, whereas his mathematical talents were left undeveloped until a chance encounter with an inquisitive college instructor. More systematically, Bell et al. (2019), using IRS tax records linked to U.S. Patent data, provide evidence of a strong association between fathers and sons’ propensity to patent in the exact same narrow patent class, a finding most easily explained by early socialization opportunities regarding the feasibility and desirability of a research career.

The existence of exposure effects might at first blush appear surprising, but their potential importance is better appreciated if one remembers that early research careers exhibit both brittleness—in the sense that small negative shocks can shift individuals back to the production sector of the economy (Hill 2018)—and malleability—in the sense that the flexibility to alter one’s research trajectory declines over the life cycle (Higgins 2005). Together, brittleness and malleability suggest that transient but intense formative experiences in the early career may significantly influence potential innovators’ decision to enter the “ideas sector” of the economy, as well as their choice of research trajectory, domain, or methodology.

Despite the empirical plausibility of exposure effects, providing convincing evidence of their existence and magnitude presents seemingly insurmountable challenges. Three necessary ingredients are required. First, one needs to identify a population of “naïve to research” individuals who nonetheless possess much of the human capital required to propel themselves to the research frontier. Second, one requires an intervention consisting of a short but intense exposure to research in a rarefied intellectual environment to a (preferably random) subset of this population. A final requirement is the opportunity to observe these individuals for a long period with minimal loss to follow-up, and see their career unfold.

In this paper, we study an intervention in physician training that comes close to bringing together these three ingredients: The Associate Training Program (ATP) of the National Institutes of Health (NIH). The ATP brought recent MD graduates to the intramural campus of the NIH in Bethesda, Maryland for two to three years to participate in research under the supervision of NIH investigators. A unique aspect of the program is that for historical

reasons, these physician trainees became commissioned officers in the U.S. Public Health Service upon acceptance. While the program was founded in 1953, with the advent of the “Doctor Draft” applications dramatically increased during the years of the Vietnam War as participation would fulfill a draftee’s military service requirement (Berry 1976).<sup>1</sup> The program included 229 associates at its peak in 1973, but following the Paris Peace Accords which effectively ended the draft, the NIH was not able to fill its associateship quota for the year and by 1976 was down to only 108 physicians (Klein 1998). Though some applicants had prior exposure to biomedical research in medical school or during their undergraduate studies, the unpopularity of the war drove many physicians who otherwise would not have been interested in a research career to apply for one of those coveted positions (Varmus 2009). This unique confluence of events provides us with a quasi-experimental lever to disentangle the role of sorting from that of training and mentorship, always a vexing challenge in empirical studies of the scientific labor market.

We study the careers and productivity of 3,075 medical school graduates who applied to the ATP during the turbulent period of the Vietnam War. Following the serendipitous discovery of previously unexamined index cards, our sample includes all male physician applicants who applied to the program and were interviewed on campus between 1965 and 1975. We build a rich hand-collected data set containing the complete training and career histories for these individuals, including all publication, patents, NIH grants, and citations.

Despite lasting only two to three years, we find that the ATP had a large and sustained impact on the careers of those who attended. Carefully selecting on observables, we compare physicians who attended the program to those who passed a first admission screen but were ultimately not selected. We find that program participants were more likely to initially enter academic medicine, and less likely to switch to purely clinical endeavors as their careers unfolded. Over the life cycle, NIH trainees also garnered publications, citations, and grant funding at a much higher rate than synthetic controls. Moreover, the direction of their research efforts was durably imprinted by their training experience. In particular, NIH trainees appear to have acquired a distinct “translational” style of biomedical research which became an implicit training model for physician-scientists as ATP alumni came to occupy the commanding heights of academic medicine throughout the United States.

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<sup>1</sup>After the war had ended, trainees began to refer themselves ironically as “Yellow Berets,” a derogatory term used to contrast draft dodgers with the elite Green Berets—the U.S. Army Special Forces (Baskir and Strauss 1978, Klein 1998).

In addition to the unique historical importance of the NIH ATP (Klein 1998, Khot et al. 2011), our study sheds light on the forces that shape skill acquisition in medicine, and how medical training influences the rate and direction of medical progress. Much of the training physicians receive in medical school, internship, and residency is fungible between medical care and medical research. Early in their career, physicians invest heavily in human capital, but then typically go on to apply their skills narrowly, for the benefits of their (private) patients. These same skills, however, can be redeployed in research activities, where physician effort also generates social returns. In fact, it has been a long-standing policy goal of the medical elite to steer a larger number of physicians towards research careers (Wyngaarden 1979, Rosenberg 1999). As a result, studying the NIH training programs in the Vietnam War era provides a unique window on the long-term consequences of exogenously shifting a well-defined population from the “production sector” of the economy (i.e., clinical care) to its “ideas sector” (i.e., biomedical research, including bench, clinical, and translational research).

The rest of the manuscript proceeds as follows. Section 2 provides institutional background on the NIH ATP program, including the procedures used to select the trainees. Section 3 describes our sample construction and provides descriptive statistics. Section 4 discusses our econometric approach, while Section 5 presents our main results. Section 6 concludes.

## 2 Institutional Setting

Relative to other professional or creative endeavors, the scientific labor market is notable for the extent to which, at any given point of time, a handful of research institutions are responsible for training a disproportionate share of the future elite in a field while simultaneously providing an extraordinary environment for breakthrough discoveries. Examples abound from a wide variety of scientific fields. In Physics, the Cavendish laboratory was the prime breeding ground of atomic physicists in the first half of the twentieth century (Rhodes 1986); the Laboratory of Molecular Biology, also located at the University of Cambridge, played a similar role for biomedical research after the second world war (Bynum 2012, Rubin 2006). This phenomenon is not limited to the physical sciences. For example, the MIT economics department stands out from those located at other universities in the extent to which it spawned a community of academics who went on to exert a profound influence on the discipline (Svorenčik 2014).

During the period of our study, the intramural campus of the NIH, located in Bethesda, Maryland, was widely recognized as one of the preeminent biomedical research institution. One aspect setting it apart from other elite institutions, however, was its unique ability to attract recently minted physicians eager to pursue a research career. Due to the confluence of multiple factors—the Doctor Draft, plentiful federal funding, and the opening of a massive clinical research center in 1953—the NIH had probably no equal in the world with respect to the training of “physician-scientists” (Park 2003). Below, we draw on historical evidence, including a large archive of oral histories curated by the NIH Office of History<sup>2</sup> to describe this setting in more detail, review the genesis and development of the Associate Training Program, and describe how trainees were selected and trained during this period.

## **2.1 The Intramural Campus of the National Institutes of Health and Its Research Environment**

NIH traces its roots to 1887, when a one-room “Laboratory of Hygiene” was created within the Marine Hospital Service, a predecessor agency to the U.S. Public Health Service. This laboratory evolved into the Hygienic Laboratory, which moved to Washington, D.C. in 1891 and, with the Ransdell Act of 1930, became the National Institute of Health. NIH remained primarily an intramural effort until after World War II, although it collaborated with academic institutions during wartime to solve war-related health problems such as the need for large-scale production of penicillin and the need for new drugs for malaria treatment. In 1944, the Public Health Service Act authorized the Public Health Service to make grants to universities, laboratories, and hospitals for the conduct of research. The early success of the extramural funding component of the National Cancer Institute (which became part of NIH in 1947) laid the foundation for the concept of a health research agency relying on a mix of extramural and intramural research (NIH Office of History 1982).

After the war, Vannevar Bush, director of the Office of Scientific Research and Development, outlined a program for postwar scientific research which affirmed the contributions of “remote and unexpected fields of medicine and the underlying sciences” in the progress against disease, and the benefits of cooperative endeavors with industry and academia (Cassell et al. 1994). Over the next few decades, Congress greatly increased funding to the NIH, and various institutes and centers within the NIH were created for specific research programs. The 1953 opening of the Clinical Center on the NIH campus added a new dimension to the intramural program—a large capability for patient-related research in close

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<sup>2</sup>[https://history.nih.gov/archives/oral\\_histories.html](https://history.nih.gov/archives/oral_histories.html)

proximity to basic research laboratories—and brought to the campus a new complement of physicians as well as other professionals and staff needed to run a research hospital (Shapiro et al. 1988).

By the early 1960s, the NIH intramural program had become an elite research institution, a fact acknowledged by a committee report criticizing the rationale for its existence on the grounds that “. . . *the government should not undertake the direct conduct of research activities that fit precisely into the pattern of scientific work that the universities or other non-government institutions are equipped to perform*” (Wooldridge Committee Report 1965).<sup>3</sup>

Oral histories from NIH staff are replete with claims attesting to the cutting edge research, deep expertise, and concentration of talent in biomedical research within the confines of the intramural campus that resulted in this rarefied environment. Alan Schechter, an ATP fellow and later Chief of the Molecular Medicine Branch at the NIH noted the NIH created much of the psychiatric research at the biochemical level, research which was “*almost non-existent anywhere else in the country, or throughout the world, before it was started at the [National Institute of Mental Health] in Bethesda*” (Schechter and Schechter 1998). Similarly, Vincent DeVita, an ATP fellow who helped to develop the first successful combination chemotherapy program and held a series of leadership positions at the National Cancer Institute, Memorial Sloan Kettering Cancer Center, and Yale recalls that “*I was going to stay at Yale for a fellowship, as well, but after I got here I realized that what I was doing at the NIH was so much more advanced in the cancer field than what was going on here at Yale, that I just decided to go back*” (DeVita 1997). Donald Fredrickson, the Director of NIH between 1975 and 1981, emphasized the breadth of expertise available, noting “*you had an expert in virtually everything you were working on right here on this campus,*” so often collaborating or learning new techniques was a simple walk down the hallway (Fredrickson 1998).

In addition to its scientific expertise, the NIH at the time had several unique strengths. Its culture is often described as more similar to a university than to a government research lab. Unlike a university, however, investigators had fewer administrative and teaching responsibilities, allowing them to focus a greater proportion of their time on research. Resources were plentiful, and intramural funding protected investigators from the vagaries of the grant peer review process. The culture encouraged researchers to be independent and explore their own ideas wherever they led. Edward Scolnick, an ATP fellow and former head of research

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<sup>3</sup>Writing a letter to *Science* in defense of the intramural program, Alfred Gellhorn, a prominent physician-scientist at Columbia University noted the importance of research training for physicians, asserting that “. . . *this enlargement of manpower in the health-research sciences would be justification enough for the intramural program*” (Gellhorn 1965).



and development at Merck Research Laboratories noted that at the NIH, *“the obligation was that you shouldn’t just turn out the papers. You really had to work on something that was truly meaningful”* (Scolnick 1998).

The draft, and resulting remarkable concentration of talent in one location, also contributed to the research environment at the NIH. Harry Kimball, an ATP fellow and former President of the American Board of Internal Medicine, recalls, *“the very best people and trainees in the country came to the NIH and that of course led to an atmosphere and an environment which was truly remarkable. . . Make no mistake the draft concentrated a number of brilliant minds at one institution”* (Kimball 1997). Beyond just impacting their experience on the NIH campus, the density of talent at the NIH helped form a rich network alumni could tap into later in their careers.

Finally, many ATP fellows came to view the focus on what would later be called translational research as a distinctive element of the approach to research at the NIH. This was no accident. James Shannon, one of the early leaders of the NIH, carefully structured the intramural program to facilitate close cooperation between basic and clinical research (Goldstein and Brown 1997, Park 2003). This was reinforced in the physical design of buildings, where the clinical center had patient care areas and research laboratories adjacent to one another within each floor to facilitate interactions across them. Shannon saw a special role for the physician-scientist, who could make fundamental discoveries about biologic mechanisms and apply these findings to the bedside. Anthony Fauci, an ATP alumni and prominent HIV/AIDS researcher, recalls, *“what the Clinical Associate Program does is it gives you a very interesting perspective on the relationship between disease and the basic science that you have to study to be able to approach disease. . . Also the link, as we used to say, between the bed and the bench, you see something at the bedside, you bring it back and ask the question at the bench or you make a discovery at the bench and you go back and apply it to the bedside, that bedside to bench phenomena was really what the Clinical Associates program was all about”* (Fauci 1998). Fauci also contrasts the approach at the NIH to those of other academic medical centers at the time, *“[At Cornell] there was very little time to think about why patients developed certain diseases or infections. It was always treat them, get them ready and get them out. Whereas at the NIH, you see the patient and then you say, ‘You know, I think I want to do a project to ask that question.’”*

## 2.2 The Associate Training Program

The NIH ATP started in 1953 with about 15 medical graduates to provide research training to physicians (Klein 1998). Associates would come to the NIH and do research under NIH investigators, usually after completing a portion of their residency training. Two years were typically spent in the program, but in some cases there was the option to extend the period to three years. From the start, the program was focused on turning physicians into independent medical investigators well-grounded in scientific knowledge and methods. The goal was on learning how to do research more than simply doing research itself and on bringing the physicians into close contact with accomplished scientists. In addition to the research, the NIH also hosted a set of after-hours basic sciences courses for program participants that could rival the offerings of major universities. Christian Anfinsen, a Nobel Laureate and NIH investigator during the early years of the program, describes its key features: *“The importance of having the [associates] work on problems of [their] own choice rather than be servants in the research problems of the preceptor, and the importance of providing the student[s] with some integrated and organized basic knowledge as a foundation that would permit them to do their own integrating of knowledge later”* (Anfinsen 1963). While the focus was on research, for some clinical specialties and subspecialties participants were able to get credit for their time at the NIH towards their required clinical training for board certification.

By the early 1960s, the Associate Training Program at the NIH had been expanded to include three separate tracks. Clinical associates would divide their time between clinical care at the NIH Clinical Center and laboratory research. Research associates would spend most of their time on research and had limited clinical responsibilities. Staff associates also had training in research administration as well as undertaking clinical or laboratory research.

Since the NIH, through historical accident, grew out of a laboratory within one of the U.S. Navy Marine Hospitals, ATP applicants applied to the program under the auspices of the U.S. Public Health Service and those selected became commissioned officers. This allowed service with the U.S. Public Health Service to fulfill any military service obligation a physician may have if drafted.<sup>4</sup>

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<sup>4</sup>Of note, in addition to the NIH, the U.S. Public Health Service had other programs through which physicians could apply to spend two years of service, including at the Center for Disease Control, the Food and Drug Administration, and the Indian Health Service.

Understanding the institutional setting of the NIH ATP requires reviewing the relationship between physicians and the military draft during the Korean and Vietnam Wars. With the start of the Korean War in June of 1950, there was an increased need for physician in the military to care for the expanding population of enlisted personnel. While physicians could be drafted, their extended training and educational exemptions in the draft allowed them a better chance to avoid being called through the standard draft process (Card and Lemieux 2001, Park 2003). To ensure an adequate supply of physician draftees, lawmakers passed an amendment to the Selective Service Act in September of 1950, colloquially known as the Doctor Draft. This amendment allowed for the special registration and induction of physician and certain allied health professionals. The Berry Plan, named after Assistant Secretary of Defense for Health and Medical Affairs Frank Berry, was enacted in 1954 and modified the Doctor Draft. The Berry Plan allowed trainees to defer their military service during medical school and for a certain portion of their residency training; however, timing preferences were often not honored (Berry 1976, Klein 1998). In 1967 there were additional restrictions on exemptions for physicians seeking draft deferment. This change in exemptions, along with increasing needs by the Department of Defense, led to about 6,000 of the 9,000 doctors graduating from medical school annually to be drafted, with about 700 physicians, dentists, and allied professionals able to fulfill military obligations through the Public Health Service Commissioned Corps (Committee on Labor and Public Welfare 1970).

While the NIH ATP began on a small scale, it steadily grew throughout the 1950s and 1960s. The NIH was often seen as a highly desirable place to spend time for young doctors, reflecting both its prominence within the U.S. biomedical R&D ecosystem as well as its singular position as a training center that would enable young physicians to fulfill their military obligations while still advancing their medical careers. The interest in and level of competition for spots in the program increased in proportion to the perceived hardship of military service. The program, however, was highly competitive even before the increased interest during the Vietnam War. In 1963, 53 of 1,464 physician applicants were selected (NIH Office of Research Information 1963). At its peak, in 1973, the program included 229 associates (Klein 1998). In contrast, in the year following the 1973 Paris Peace Accords which effectively led to an end to the military draft, the NIH was not able to fill its associateship quota for the year, and by 1976 included only 108 physicians, down over 50% from its peak (Klein 1998).

While certainly some of the physicians would have applied to and attended the program regardless of the war, avoiding the draft was a significant motivation for some physicians. Donald Frederickson, a former director of the NIH and one of the first clinical associates

in the program in 1953, later played a role in determining who to admit to the program during the 1960s and 1970s. He recalled, “*The NIH Associates program would never have been as popular or as competitive as it was without the draft*” (Fredrickson 1998). Anthony Fauci, a program alumni and the former head of the National Institute of Allergy and Infectious Disease, echoed these sentiments “*... every single physician went into military service... essentially, I came down to the NIH because I didn't have any choice*” (Fauci 1989). The ability of program participants to fulfill their military service requirement did not escape popular attention. The NIH associates were often called the “Yellow Berets,” although the exact origin of this term is unclear (Klein 1998).<sup>5</sup>

Not only did the draft influence individual decisions to apply to the NIH, it shaped the growth of the NIH by facilitating concentration of talent in one location. Some have argued that the ATP and doctor draft played an important role in the preeminence of the NIH for precisely this reason (Park 2003). Harry Kimball, an alumni of the program and former president of the American Board of Internal Medicine said, “*We all knew we were going to serve in the military one way or the other... so it was just a matter of trying to arrange the best possible experience during your military time... the fact that there was a doctor draft made the NIH the premier place.*” (Kimball 1997).

## 2.3 The Application Process

Applications to the NIH ATP were typically submitted two years in advance, generally during the final year of medical school with a planned program start date after completing internship and the first year of residency training. Applications included academic transcripts, references, publications, and planned post-graduate training institutions. After a first screen based on these documents, a small number of applicants were invited to interview on campus at the NIH in order to match with a particular laboratory and mentor. Unfortunately, much of this written documentation was destroyed, leaving only the application index cards of the subset of candidates who cleared the first admission hurdle and attempted to match with a laboratory. There is also no official record of the list of labs with which each participant attempted to match and of offers made. The data can only tell us that out of these second round applicants, roughly 69% were accepted an ATP position and attended the program. According to the NIH's official documentation, these final appointments were made based upon intellectual attainment and demonstrated research interest and ability (NIH 1968).

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<sup>5</sup>Bob Seger wrote a parody of Barry Sadler's “The Ballad of the Green Berets” called “The Ballad of the Yellow Beret,” which was composed by D. Dodger in 1966.

The oral and written historical records speak to the difficulty in evaluating research potential and making decisions between candidates. Donald Fredrickson, an ATP alumnus who later served on the selection committee for the program in the 1960s and 1970s, recalls that “... *the main objective was getting people who would use this environment to turn into scientists,*” but also notes selecting participants was “*extremely difficult because all we really had was the scholastic record of most people. Very few had done any research... so the art of picking out of a whole group of qualified people those who might become successful scientists was extremely difficult... We would have to pick them with a certain amount of variety because our programs needed people of diverse interests*” (Fredrickson 1998). Harry Kimball, another alumnus of the program who was also later involved in applicant selection remembers “*It was truly astonishing how qualified these people were and the kind of close decisions you had to make as to who to offer a spot in the program*” (Kimball 1997). Harold Varmus describes how the decisive factor in his own selection into the program likely did not hinge on his promise as a budding scientist. Rather, he writes that during his interview with Ira Pastan “*My schooling in literature turned out to be more important than my interest in endocrinology, Ira’s field, because Ira’s wife Linda, a poet, had often complained that Ira’s colleagues seldom talked about books. Ira, himself an enthusiastic reader, thought it might be helpful to have someone with my background in his lab*” (Varmus 2009).

## 2.4 Prior Evaluations

A handful of prior studies have examined the program. Klein (1998) provides a thick description of the ATP and the NIH during the Vietnam era grounded primarily in the conduct and review of historical documents and interviews. We have drawn on her analysis to provide much of the necessary institutional background required to guide our empirical analysis. Khot et al. (2001) analyze the careers of NIH ATP attendees from 1955 to 1973, comparing them to a random sample of medical school faculty that graduated in the same years selected from the Association of American Medical Colleges Faculty Roster. The authors show that relative to these controls, ATP participants were 150% more likely to achieve the rank of full professor, twice as likely to become a department chair, and three times as likely to become a medical school dean. Matching the population of attendees with a series of prestige markers appropriate for biomedical researchers, they found in their sample nine winners of the Nobel Prize in Physiology or Medicine, ten recipients of the National Medal of Science, 44 members of the National Academy of Sciences, and 125 members of the Institute of Medicine. Our study improves on their design with a more appropriate control group, that of unsuccessful applicants to the ATP, which helps shed light not simply on the

effect of ATP attendance on the intensive margin—articles, citations, grants, patents—but also on the extensive margin: how did selection shape applicants choice of career, in particular participation in research activities as opposed to purely clinical endeavors?

## 3 Empirical Design, Data, and Descriptive Statistics

### 3.1 Data

The application index cards for the NIH Associate Training Programs form the raw material for the creation of our dataset. While the cards for successful applicants had been previously digitized and used in prior research efforts (e.g., Khot et al. 2011), the index card for applicants who did not attend the program were previously thought to have been destroyed. In 2015, carton boxes containing a subset of these index cards—those corresponding to applicants who interviewed on campus but were ultimately not offered a position—were discovered at the National Archives by the NIH archivist, Barbara Harkins. Figure 1 displays the number of index cards in our dataset in each year belonging to our observation window, 1965 and 1975. While the ratio of successful to unsuccessful applicants is approximately 2:1 over the entire period, this average masks large swings, with the years 1970, 1971, and 1972 exhibiting a greater proportion of unsuccessful applicants. These years correspond to the height of the Vietnam War mobilization effort, coincidentally those during which the draft lottery was in effect.

We limited our analysis to those who applied to the program between 1965 and 1975. To arrive at the final list of 3,075 applicants, we eliminated 22 applicants who did not hold an MD degree, three unsuccessful applicants who applied at the very start of medical school (and did not reapply), and eight who died while in training, or soon thereafter. We also excluded 34 female and 22 foreign medical school graduates as their motivations to apply, and conditional on applying, attend may have been very different from applicants subject to the draft. Despite our best effort, we also lost 13 applicants to follow-up (less than 0.42% of the total). In the case of repeated applications for the same applicant, we retained only the latest one.

For each of these physicians, we manually collected their training and career history using a mix of Google, Doximity, and LinkedIn searches; medical licensure records; professional profiles and CVs; Who’s Who profiles; and other publicly available internet sources. These were supplemented with data on medical school faculty appointments from the Association of

American Medical Colleges (AAMC) Faculty Roster and physician biographical information in the American Medical Association (AMA) Physician Masterfile. To ascertain treatment status, participation in the ATP was verified with the biographical resources above as well as NIH telephone directories and internal human resource records.<sup>6</sup> Applicants who were appointed to the Public Health Service Commissioned Corps but served at the Center for Disease Control or the Indian Health Service were assigned to the control group. Of course, many members of the control group received research training in traditional academic medical settings, some of them after a period of military service, though only one applicant in the sample appear to have served in the Vietnam military theater. The final sample contains the records of 3,075 physicians (1,929 program attendees and 1,146 non-attendee controls).

We distinguish between three career phases for all applicants. First, the education, or pre-application phase, which ends at the end of medical school. Second, the training phase, which covers internship, residency, post-residency fellowships, as well as national service regardless of the setting where it was served (Army/Navy, NIH, CDC, Indian Health Service). Finally, the independent phase of the career begins immediately after the end of the training phase, and ends with retirement or death. When referring to career choice in the rest of the paper, we refer to the choice of employment in this last career phase. 277 (9.01%) applicants pass away prior to their retirement; 762 (24.78%) retire prior to 2017, the end of our observation period; and for 2,036 applicants (66.21%), the career is still ongoing as of 2017. Though these observations are technically censored, it is important to acknowledge that the youngest applicant in our sample was 65 years old in 2017 and in his thirty first career year. To a first order of approximation, these physicians are therefore at the twilight of the active phase of their research or clinical careers.

Publications, citations, patents, and NIH grants were collected for each individual from PubMed, the Web of Science, the U.S. Patent and Trademark Office (USPTO), and the NIH's Compound Application Grant File, respectively, and carefully name-disambiguated. For publications we include only original research articles, excluding other types of publications such as letters, erratum, and review articles. Importantly for our analysis, we use the richness of the individual profiles collected to measure participation in research independently of the applicants' employers. For instance, the career of many of our applicants unfolds within academic medical centers in purely clinical positions where there is no expectation of

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<sup>6</sup>Our set of treated applicants include fellows who completed their training outside of the confines of the NIH intramural campus in Bethesda, such as the Baltimore Cancer Research Center or the FDA. Other NIH locations were even more far-flung such as the Rocky Mountain Laboratory (located in Hamilton, Montana) or the Panama Control Zone. As a robustness check, we repeated our analysis excluding the 267 ATP attendees not located on the main NIH campus in Bethesda with similar results obtained.

publication. In contrast, other applicants work in industry or other non-academic institutions and yet amass a respectable publication record in the context of non-traditional research careers. Since our motivation is to understand how early career interventions might influence long-run engagement with the idea sector of the economy, distinguishing between career *locus* (academic versus non-academic jobs) and career *focus* (research jobs versus clinical jobs) is important.

## 3.2 Descriptive statistics

**Pre-application characteristics.** Table 1a presents descriptive statistics regarding ATP applicants at the time of application. Applicants with stronger academic credentials, or with evidence of involvement in research activities are also more likely to attend the program. For instance, applicants holding a PhD degree, those with a publication record, those inducted in an elite medical school honors society (*AΩA*),<sup>7</sup> and those having graduated from elite medical schools (as proxied by the NIH funding received by its affiliated faculty members) are more likely to be selected.<sup>8</sup> Recall that these applicants all survived a first screen, so one might have expected that covariates observable before this initial screen would not influence the selection decision at the interview stage. The fact that observable markers of “research preparedness” do in fact predict selection imply that interviewing “skills” are correlated with these markers, or alternatively, that the ultimate decision makers place positive weights on them even at the second stage of the process. However, one must remember that due to the young age of the applicants, the signals of research potential upon which the selection decision relies are necessarily noisy. For instance, 59.4% of applicants have no publication to their name within two years of their ATP application (67.4% for attendees; 54.7% for non-attendees). ATP attendees also applied to more NIH institutes (3.9 vs. 2.9), perhaps signaling greater interest in or motivation for research undertakings. At application, their age and draft lottery number (for the subset of 1,898 applicants whose birth year made them eligible for the draft lottery) are balanced across attendees and non-attendees, as one would expect.

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<sup>7</sup>Criteria for selection into *AΩA* varies by school, but typically heavily weights academic and clinical excellence.

<sup>8</sup>Appendix Table A1 lists the 10 most frequent medical schools from which physicians in the sample graduated, separately for attendees and non-attendee controls. Appendix Figure A1 provides a histogram for the distribution of the number of original publications published up to the year of ATP application, weighted by the journal impact factor of the publication outlet in which they appeared.



**Career choice.** Table 1b provides basic statistics regarding career outcomes, with a particular focus on the first job following the end of the training phase and the last job held by each applicant (Appendix Tables A2 and A3 provide a finer-grained occupational breakdown). It is immediately apparent that ATP attendees choose academic (76% vs. 57%) and research (69% vs. 46%) careers at a more pronounced rate, relative to non-attendees, following the end of their training. These differences reflect in part time spent in training, though this contrast is not especially stark: On average, ATP attendees spend an additional 6.7 months in post-graduate training prior to achieving career independence, relative to non-attendees. The gap does not seem to narrow as their career unfolds, though one can observe attrition in the subsample of attendees. The proportion of fellows in research positions falls from 69% to 52% between the beginning and the end of the career. Overall, these univariate comparisons corroborate the claims made by ATP alumni regarding the effect of their training on career orientation. For instance, Harry Keiser, an ATP alumnus and later clinical director of the National Heart, Lung and Blood Institute, mentions that *“if I had gone back to Northwestern... I would have almost certainly gone out into private practice... but I certainly would not have continued to devote the rest of my life to research”* (Keiser 1998).

**Research outcomes.** Tables 1c reports descriptive statistics on a variety of research outcomes. ATP attendees garner over twice the number of career publications on average (77.7 vs. 37.2). Similar differences can be observed for patents (1.7 vs. 0.7), and NIH extramural grant funding (\$11.3 vs. \$4.0 million) and citation impact (5,130 vs. 1,984 for article-to-article citations; 20.2 vs. 7.5 for patent-to-patent citations). ATP attendees publications are also more heavily cited in patents (72 vs. 23). Attendees receive greater NIH R01 funding as well, with \$3.1 million compared to \$1.2 million over their career.

Panels A, B, and C of Figure 2 display histograms for the distribution of career publications, citations, and NIH funding by treatment status. The differences in achievement between attendees and non-attendees are even more pronounced in the right-tails of these distributions. This is also reflected in the rate at which attendees accrue markers of research excellence over the career, relative to non-attendees (Table 1d). In the control group, no physician ever receives a Nobel Prize or a Howard Hughes Medical Institute (HHMI) Investigatorship (the corresponding numbers in the treatment group are 7 and 32, respectively). The differences in the rate at which treatment and control physicians become Members of the National Academies or NIH MERIT awardees are less stark, but still large in magnitude.

**Research style.** We develop a battery of measures to capture differences in research style across physicians in the sample. In particular, we take a first stab at measuring “translational” biomedical research. Translational research does not have an agreed-upon definition (Butler 2008, Woolf 2008). For the purposes of this paper, we will build upon the view of David Nathan, an NIH ATP alumni and former president of the Dana-Farber Cancer Institute (2005):

*“Translational clinical investigators come in at least two flavors... One class includes physician-scientists interested in disease mechanisms... But these almost never interact in their research with an intact patient/subject. Such disease-oriented researchers are content to study tissue samples, cell lines, and model systems such as mice, fish, and yeast and do so with great benefit... Their career paths are only slightly distinguishable from those of basic scientists... The other class of physician-scientists include patient oriented researchers. They actively search for patients who may enable them to uncover the secrets of complex diseases, care for those patients, and with their permission, undertake to explore new diagnostic and therapeutic approaches to treating their diseases.”*

As a concrete (and famous) example of translational research of the first type, consider the work of NIH ATP alumni Joseph Goldstein and Michael Brown, recipients of the 1985 Nobel Prize for Medicine and Physiology. Their initial investigations were inspired by observations of patients with familial hypercholesterolemia they saw at the NIH Clinical Center (Goldstein and Brown 1997). Through patient-inspired basic investigations performed at the laboratory bench, they identified the underlying root cause of this disease as a lack of low-density lipoprotein receptors. These discoveries in turn informed drug development efforts, ultimately leading to the market introduction of statins. The work of Goldstein and Brown illustrates well the importance of both the “bench to bedside” and “bedside to bench” transitions which are a recurring theme in the oral histories of ATP alumni.

Conversely, Philip Pizzo personifies an approach to translational research closely connected with patient care. After his clinical associateship, Pizzo stayed on at NIH, becoming Chief of Pediatrics and Scientific Director of the Division of Clinical Sciences at the National Cancer Institute before being named Physician-in-Chief of Boston Childrens Hospital and later Dean of Stanford Medical School. An expert in infectious disease and cancer, examples of his contributions include the first use of antiretroviral medication in children with HIV, a phase I trial of a solubilized receptor used by HIV for cell attachment, assessing the effectiveness in cancer patients of a diagnostic test for invasive fungal infection previously studied only in animal models, and in vitro testing of approaches to rescue neutrophil dysfunction using HIV patient samples.

The MeSH thesaurus from the National Library of Medicine provides the raw material necessary to create our measures of translational research style. MeSH consists of terms arranged in a hierarchical structure that permit searching at various levels of specificity (there are over 28,000 descriptors in the 2018 edition of MeSH). Almost every publication in *PubMed* is tagged with a set of MeSH terms (between 1 and 103 in the current edition of *PubMed*, with both the mean and median approximately equal to 11). For each article published by a scientist in the sample, we measure disease orientation by the presence of a disease MeSH term. To capture bench research, we take note of the presence of MeSH terms for molecular biology techniques—such as *nucleic acid amplification techniques* or *cell migration assays*—or MeSH terms corresponding to model organisms—such as the nematode *caenorhabditis elegans* or the fruit fly *drosophila melanogaster*. We denote an article as translational if it is disease-oriented and relies either on a molecular biology technique or a model organism (more details are provided in Appendix B).

We create three additional measures. First, we designate an article as “inspiring translational research” whenever it is translational according to the above criteria and is cited by a clinical trial publication. Second, we identify work that “builds on translational research”: articles that report the results of a clinical trial and also list a translational publication in their references. Finally, we tag each article that garners at least one citation in the header of a patent subsequently granted by the USPTO (see Azoulay et al. 2019 for more details on article-to-patent citations). This provides a crude way to capture the extent to which biopharmaceutical firms build on the work of the scientists in the sample to inform their applied R&D efforts.

Table 1e reports descriptive statistics for the research style measures. Because these measures are only meaningfully defined for publishing researchers, we create a subsample that only comprises the 2,583 scientists (1,730 treated and 853 controls) who publish at least one article after the end of their training. Rather than focusing on the levels of these variables, we normalize them by the total number of articles published by each scientist in the independent career phase. In other words, rather than focusing on the volume of translational publications, we highlight the fraction of work for each scientist that can be considered translational according to our measures.

Non-attendees and attendees differ only slightly in their disease orientation (76.8% vs. 70.9% of articles), but attendees are markedly more likely to engage in laboratory basic research (25.9% vs. 15.9% of articles using molecular biology techniques; 19.5% vs. 11.2% of articles relying on a model organism) relative to non-attendees. Similarly, univariate compar-

isons point to higher fractions of translational articles for attendees, relative to non-attendees, regardless of the measure of “translational-ness” chosen. Below, we explore whether these differences reflect mere correlations easily ascribed to differences in talent, or rather, the causal effect of attending the ATP.

### 3.3 Econometric Considerations

The univariate comparisons point to large differences in outcomes between attendees and non-attendees of the NIH ATP. It would be hazardous to interpret these differences as reflecting the causal effect of the ATP “treatment,” since it is obviously a goal of NIH laboratory heads to admit applicants with the most research promise. Omitted variable bias therefore looms large and hampers our ability to make strong causal statements. Recall that all applicants in our sample already passed a first selection screen. Still, residual sources of selection might remain at the interview stage, e.g., the admissions committee might extract relevant information regarding an applicant’s suitability for a research career in a series of relatively short interviews. We adopt a particular—and necessarily imperfect—approach to dealing with this fundamental identification challenge, a propensity score weighting methodology which belongs to a broad class of “selection-on-observables” techniques.

**Inverse probability of treatment weighted estimation.** Let us first assume that the NIH PIs recruiting fellows at the interview stage are unable to select applicants on the basis of covariates unobserved by the econometrician and correlated with research career success—the “unconfoundedness” assumption. This assumption is not testable and it places strong demands on the data generating process.<sup>9</sup>

In addition, we must assume that, for all included values of the covariates predicting treatment, the likelihood of being selected to attend is positive—the “common support” assumption. The common support assumption implies that we should limit our comparisons to sets of values for which there is sufficient overlap in the match probabilities between actual and counterfactual matches (Barber, Murphy, and Verbitsky 2004).

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<sup>9</sup>We know from past research that “selection-on-observables”-type techniques perform best (in the sense of replicating an experimental benchmark) when it is possible to include a comprehensive list of covariates to model the probability of assignment to treatment (Dehejia and Wahba 2002). In our sample, we have at our disposal a large set of pre-treatment covariates that we believe to be likely to confound comparisons between attendees and non-attendees: quality of medical school attended, research publications as an undergraduate and medical school student, etc.

Under these assumptions, Hirano and Imbens (2001) show that various treatment effects of attending the NIH ATP on outcome  $y$ , conditional on exogenous applicant characteristics  $Z$ , can be recovered by estimating

$$E[y|X, Z] = \beta_0 + \beta_1'Z + \beta_2 TREAT \quad (1)$$

by weighted least squares or weighted maximum likelihood (depending on the distribution of  $y$ ), where the weights correspond to the inverse probability that each observation is treated. Implementation is straightforward. We first estimate the propensity of attending the program as a function of pre-treatment observable characteristics

$$e(X_i) = Prob(TREAT_i = 1|X_i) \quad (2)$$

for each applicant  $i$ . The predicted probabilities (the propensity scores) help create regression weight  $w_i$  for each subject. To estimate the Average Treatment Effect (ATE):

$$w_i = \begin{cases} \frac{1}{1-\hat{e}(X_i)} & \text{if } TREAT_i = 0 \\ \frac{1}{\hat{e}(X_i)} & \text{if } TREAT_i = 1 \end{cases}$$

To estimate the Average Treatment Effect on the Treated (ATET):

$$w_i = \begin{cases} \frac{\hat{e}(X_i)}{1-\hat{e}(X_i)} & \text{if } TREAT_i = 0 \\ 1 & \text{if } TREAT_i = 1 \end{cases}$$

Weighting equation (1) by  $w_i$  effectively creates a pseudo-population of applicants in which  $X$  no longer predicts assignment to treatment and the causal association between treatment and the outcome variable is unchanged from the original population.<sup>10</sup> We refer to  $\beta_2$  when equation (1) is weighted by  $w_i$  as the Inverse Probability of Treatment Weighted (IPTW) estimator of  $\beta_2$  (Xu et al. 2010, Austin and Stuart 2015).

**Selection on unobservables.** Despite a long list of observable covariates to predict selection into the ATP, IPTW estimation does little to address the threat to identification due to factors unobservable to the econometrician. The time period of the study suggests an instrumental variable approach based on draft eligibility, as in Angrist (1990). For several cohorts of applicants in our sample, their eligibility for the draft was potentially influenced by the lotteries held by the U.S. Selective Service in 1969, 1970, and 1971. In total, 1,898

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<sup>10</sup>We use stabilized inverse probability of treatment weighting, which multiplies weights by the marginal probability of receiving treatment. This method addresses the difficulty of very large weights being assigned to treated individuals with a probability of treatment close to zero or controls with a probability of treatment close to one (Xu et al. 2010, Austin and Stuart 2015).

(61.72%) of the applicants were born between 1944 and 1952 and therefore assigned a lottery number, based on their birth date. Applicants whose number was called might have been especially determined to escape service in Vietnam, and invested more in preparing their application. Alternatively, NIH PIs might have exhibited a bias in favor of applicants whose alternative to training at NIH would have been service in a conflict zone. In the subsample of applicants impacted by the draft lottery, 978 (51.53%) have a number that was called, i.e., classified as available for military service.

For the vast majority of the physicians in the sample affected by the draft, the lottery occurred several years prior to their graduation from medical school and their application to the ATP. As a result, most may have been able to postpone their draft eligibility through deferments granted for educational purposes (Rousselot 1971). Below we will show that in practice, having one’s number called in the lottery does not help predict ATP attendance. The lack of a predictive first stage prevents us from reporting credible two-stage least squares estimates of the effect of ATP treatment.

**Functional form.** Many of the outcomes we study, including publication counts and NIH grants awarded, are skewed and non-negative with a large mass point at zero (see Figures 2a, 2b, and 2c). For example, 426 (13.9%) of the applicants do not publish after their training; approximately two thirds of the sample never receive any NIH grant funding over the career. Following a long-standing tradition in the study of scientific and technical change, for these skewed outcomes we present Poisson quasi-maximum likelihood (hereafter QML) estimates (Santos Silva and Tenreyro 2006). Because the Poisson model is in the linear exponential family, the coefficient estimates remain consistent as long as the mean of the dependent variable is correctly specified (Gouriéroux et al. 1984). QML (i.e., “robust”) standard errors are computed using the outer product of the gradient vector (and therefore does not rely on the Poisson variance assumption).

## 4 Results

The exposition of the econometric results proceeds in stages. We first explore empirically the determinants of selection into the ATP. Using the predicted probabilities from these models as regression weights, we then report estimates of the effect of ATP attendance on (i) research productivity outcomes; (ii) career choice outcomes; and (iii) research style outcomes. Finally, we perform a battery of robustness tests to probe the plausibility of the unconfoundedness assumption in our context.

## 4.1 Selection into the NIH ATP

We model the likelihood of selection in a logit framework using an extensive list of covariates observed at the time of selection (Table 2).<sup>11</sup> We capture the research orientation of the medical school and intended internship hospital for each applicant with the NIH funding that accrue to principal investigators in these institutions. We also include an indicator variable for applicants who received a PhD before they applied, and an indicator variable for election to the *AΩA* Honor Medical Society. The most informative indicator of research promise is probably demonstrated engagement in research activities, as ascertained by an applicant’s list of scientific works published, or soon-to-be-published at the time of application. We weight each of these student publications by the impact factor of the journal in which they appeared as a crude quality adjustment (raw counts produce similar results).

Columns 1a and 1b report the results and finds the coefficient signs for most of the covariates are in the expected direction. Relative to applicants without publications, and at the mean of all other covariates, applicants with one publication are 7% more likely to be selected; those with two publications or more, 20% more likely.

Estimates in column 1c correspond to the results of a cross-fit partialing-out lasso logit procedure with ten folds, as described in Chernozhukov et al. (2018). The specification includes all the covariates mentioned above, plus a full suite of medical school indicator variables and a full suite of internship hospitals indicator variables, for a total of 372 covariates, 151 of which the procedure selects for inclusion as control variables. This procedure allows for statistical inference to be performed on five covariates of interest also included in the specification in column 1b, enabling the coefficients and standard errors to be compared across columns.<sup>12</sup>

Columns 2a and 2b focus on the applicants cohorts for which the draft lottery was operating, individuals born between 1944 and 1952. Column 2a includes the same covariates as column 1b into the specification, but estimates the model on the restricted sample of 1,898 “lottery-affected” applicants. Column 2b confirms that having one’s lottery number called

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<sup>11</sup>In fact, most of these factors might have been observed at the initial selection stage (e.g., medical school attended) while for others the timing is more ambiguous as they might become known to the applicant between the first and second stage of the ATP selection process (e.g., intended internship hospital, accepted or forthcoming journal publications).

<sup>12</sup>Note that medical school and internship hospital funding variables are not separately identified from the fixed effects and drop out of the specification. The  $\chi^2$  test statistic (*i.e.*, the Wald test of the hypothesis that the coefficients of these five covariates are jointly equal to zero) is equal to 65.93 ( $p < 0.01$ ).

does not predict selection into the program, consistent with the premise that physicians were already on the required service list during the Vietnam era.

Since the estimation of the propensity score is in essence an exercise in prediction, we favor an abundance of explanatory variables in the model used to compute selection probabilities (and regression weights) for each applicant. Our least restrictive specification includes 82 fixed effects for medical schools and 224 indicator variables for intended internship hospitals. In contrast to the specification in column 1c, no variables are constrained to be selected. The variables are selected via a logit procedure with a lasso penalty term, using ten-fold cross-validation to prevent overfitting the data. The predicted probabilities from this model are used to generate the benchmark set of weights used below to estimate treatment effects.<sup>13</sup>

## 4.2 Research outcomes

Table 3 reports estimates of the treatment effect of ATP attendance on various metrics of research output over the career. Each estimate in the table corresponds to the coefficient on a treatment indicator variable (and its associated robust (QML) standard error) from a Poisson model where the outcome of interest is regressed on an indicator variable for holding a PhD degree at the time of application and a full suite of medical school graduation year effects in addition the treatment variable. Each outcome variable has been constructed to exclude output that results from research undertaken as a student or a trainee: they correspond to research output for the entire post-training (i.e., “independent”) career. We consider six different outcomes: (i) a count of original publications (i.e., excluding reviews, editorials, etc.); (ii) a count of original publications, excluding those where the applicant is in the middle of the authorship list;<sup>14</sup> the cumulative count of citations that accrued to each scientist’s post-training original publications by 2015; a count of patents granted by the USPTO (by 2016); the cumulative amount of NIH grants received as a principal investigator; the cumulative amount of NIH R01 grants received as a principal investigator.

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<sup>13</sup>We test the quality of our predictions by splitting the sample into a prediction subsample (2,460 or 80% of the observations) and a hold-out sample (615 or 20% of the observations). The out-of-sample deviance ratio (a measure of goodness of fit for logit models) is equal to 0.70 of the corresponding in-sample value, which is acceptable. Note that the correlation between the predicted selection probabilities from column 1b and that of the model with lasso regularization is 0.961. As a result, the magnitudes and precision of the IPTW estimates presented below are not very sensitive to the choice of weights.

<sup>14</sup>A robust social norm in the life sciences systematically assigns last authorship to the principal investigator, first authorship to the junior author who was responsible for the conduct of the investigation, and apportions the remaining credit to authors in the middle of the authorship list, generally as a decreasing function of the distance from the extremities (Zuckerman 1968, Nagaoka and Owan 2014). Therefore, the first- and last-authored publications correspond to those associated most closely with each applicant.



For each outcome (which differ across rows), the first column of Table 3 reports the naïve cross-sectional estimate. The next set of two columns reports the average treatment effect (ATE) and the average treatment effect on the treated (ATET) using inverse probability of treatment logit weights (computed using the model in Table 2, column 1b). The final set of two columns reports the ATE and ATET using inverse probability of treatment lasso weights (*cf.* Section 4.1). Exponentiating the coefficient and subtracting one yields a magnitude interpretable as an elasticity. For example, the estimates in the first cell of Table 3 imply that attendees publish  $100(\exp[0.656] - 1) = 92.7\%$  more articles during the independent phase of their career, relative to non-attendees.

Synthesizing the results across rows and columns of Table 3, a number of patterns emerge. First, the magnitude of the treatment effects are large, even when they filter out the effect of selection under the maintained assumption of unconfoundedness. Using the lasso weights, for example, the ATE for publications corresponds to an increase of 67.7%, and the ATET to an increase of 60.5%. Second, modeling selection based on observable covariates does shrink the magnitude of the estimated effects by a factor ranging from 1.5 to 3. Third, the ATE and ATET typically have similar magnitudes. All estimates are precisely estimated, although some specifications for patents and R01 grants are only significant at the 10% level (but recall that a full 80% of the sample does not hold any patent and 74% never receive an R01 grant).

The estimates for the effect on overall citations conflate the effect of treatment on the quantity of output with the effect of treatment on the quality of output. Table 4 sheds light on the effect of NIH training on citation impact (a reasonable proxy for publication quality) specifically. For each publication, we use the *Web of Science* to ascertain its percentile in the vintage-specific article-level citation distribution.<sup>15</sup> This makes it possible to meaningfully aggregate, for each applicant, the number of his post-training publications whose eventual impact falls above the  $j^{\text{th}}$ -percentile of the citation distribution, even though these publications might have appeared at different times. The structure of Table 4 is otherwise identical to that of Table 3.

The first row of Table 4 replicates the first row of Table 3, with the caveat that we exclude from the publication count variable those for which citations are not available because they

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<sup>15</sup>When referring to the vintage-specific, article-level distribution of citations, the relevant universe to compute quantiles is not limited to the articles authored by scientists who belong to our applicant sample. Rather, the relevant universe includes the entire set of 17,312,059 articles that can be cross-linked between *PubMed* and the *Web of Science*.

appear in a journal indexed by *PubMed* but not the *Web of Science*.<sup>16</sup> The next five rows progressively restrict the count to those whose citations put them above an impact percentile threshold: above the 50<sup>th</sup>, above the 75<sup>th</sup>, above the 95<sup>th</sup>, above the 99<sup>th</sup>, and above the 99.9<sup>th</sup> percentile. Looking across the rows, the magnitude of the treatment effects increases slightly as one moves up the tail of the impact distribution (except when focusing on the one in a thousand “citation hits”). The more important conclusion is that ATP attendance increases dramatically the number of low-impact as well as the number of high-impact publications over the career.

### 4.3 Career choice

Whereas Tables 3 and 4 focused on the effect of NIH training at the intensive margin (i.e., the intensity of research effort over the career, as it is being converted into publications, patents, and grants), Table 5 hones in on the effect of the program at the extensive margin (the choice to begin a research career or to stay in one). The first two rows pertain to the length of the training period as well as the length of the career overall.<sup>17</sup> First, ATP attendees spend 9% longer in training overall relative to non-attendees—about an additional six months on average). This is a meaningful yet rather small increase relative to the time of commitment of the ATP (two years). It underscores the extent to which our results pertain to the effect of the content of training, rather than to the mere fact that training was received. We also find that NIH training reduces slightly the length of the overall post-independence career, but the effect is small (between 1 and 2%, or seven months on average), and imprecisely estimated in some specifications.

The next six rows of Table 5 pertain to the effect of the program on the choice of career. Appendix Tables A2 and A3 show a detailed breakdown of “first jobs” (the type of occupation chosen immediately after the end of training) and “last jobs” (the last type occupation of the applicant in 2017, retirement, or death, whichever came earlier). For the purpose of statistical analysis we aggregate these occupation types into coarser categories, with an emphasis on career locus (academic versus non-academic jobs) and career focus (research jobs versus clinical jobs). These two dimensions are not perfectly collinear, since academic medical centers employ a vast array of physicians in purely clinical roles, and conversely, physicians in our sample do take jobs with a primary research focus outside of the academic

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<sup>16</sup>These account for 13,861 of 192,446 (7.2%) of all post-independence original research publications for the sample of applicants.

<sup>17</sup>The estimates listed on the first two rows of Table 5 stem from Poisson specification (with QML, i.e. “robust” standard errors), as was the case for Table 3 and 4.

setting, e.g., inside a biopharmaceutical firm, at the Food and Drug Administration, or inside a large integrated health system. Table 5 reports the marginal effects from logistic regressions of these career choice indicators on the treatment indicator and our usual set of controls. Across columns, we observe that attending the ATP increases the likelihood of embarking on an academic career by 7 to 16 percentage points. The effect of ending one’s career in academia is similar in magnitude. The likelihood of choosing an initial job with a research focus is also higher (between 15 and 21 percentage points) for treated physicians than untreated ones. Conversely, attending NIH ATP appears to make it markedly less likely to choose a clinical career.

These magnitudes for the extensive margins invite us to recast the large effect sizes observed for many of the research outcomes on Table 3. These effect sizes might primarily reflect the fact that the program shifts physicians from the clinical sector (where publication is considered at best a hobby) to the research sector, where publications and grants are absolutely key to career success. One crude way to gauge whether this is the case is to re-estimate Table 3 on the subsample of physicians who begin their careers as researchers. Of course, since an initial placement in a research position lies on the causal pathway between training and research output, it would be wrong to construe the estimates for the restricted sample as causal effects. Appendix Table A4 reports these results. Relative to those observed on Table 3, the magnitudes are markedly smaller, sometimes by as much as a factor of two. An important reason why non-attendees publish less than attendees, therefore, is simply that non-attendees are much less likely to be researchers, and non-researchers seldom publish at all.

#### 4.4 Research style

Table 6 examines the impact of NIH training on the style of the research published by applicants to the ATP. Since the style measures cannot be computed absent publications, we limit the analysis in this section to the 2,583 applicants (1,730 attendees and 853 non-attendees) who publish at least once in the post-training phase of the career.<sup>18</sup> We model the fraction of a researcher’s bibliome that fits in a particular research style, by including an offset for the log of overall publications on the right-hand side of our Poisson specifications:

$$E [Pubs_i^s | X_{it}] = Pubs_i \cdot \exp \left[ \beta_0 + X' \beta_1 + \beta_2 TREAT_i \right] \quad (3)$$

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<sup>18</sup>We add the constraint that these publications should collectively be cited at least once in the *Web of Science*. The inverse probability of treatment weights are recomputed on the restricted sample to take into account the fact that the publication constraint disproportionately drops unsuccessful applicants from the data.

where  $i$  indexes applicants,  $Pubs_i$  is the count of post-training publications,  $Pubs_i^s$  is the count of post-training publications for  $i$  that are of style  $s$ , and  $X$  a vector of exogenous covariates. The structure of Table 6 is otherwise identical to that of Tables 3 and 4. We consider seven distinct—but not mutually exclusive—styles.

A hallmark of the training received at NIH was exposure to laboratory research for young physicians that might have had only limited exposure to the bench as undergraduates or medical school students. The imprint of basic research appears long lasting: attendees devote a higher proportion of their output to basic research (as ascertained by the presence of keywords denoting the use of model organisms or molecular biology techniques), relative to non-attendees. Conversely, their work is less disease-oriented, although this effect is small in magnitude. Given the emphasis placed in the oral histories on facilitating the “bench to bedside” transition, the next series of outcome variables try to find the empirical signature of a translational research style.<sup>19</sup> Recall that our working definition of a translational publication is the simultaneous presence of a disease keyword and either a model organism keyword or a keyword denoting the use of a molecular biology technique.

The estimates on the fourth row of Table 6 imply attendees indeed exhibit more of a translational orientation than non-attendees, but the effect is not precisely estimated. A natural way for the transition from bench to bedside to take place is for clinical researchers to further develop translational work, for example by performing a clinical trial. We do find that attendees “inspire” translational research in this way (the magnitudes imply an increase of between 15% and 22%, but also imprecisely estimated in the case of the average treatment effect). Similarly, we can also compute the number of times applicants publish clinical trials which backward-reference translational articles. We find precise and large effects of treatment on the applicants’ tendency to build on translational research in this way.

An alternative way to facilitate the bench-to-bedside transition is to enable biopharmaceutical firms to build on the applicants’s published research, since many health-related innovations cannot reach patients unless firms invest in bringing them to market. Consistent with that intuition, we find that the NIH ATP increases the proportion of published output that will eventually be cited in one or more USPTO patents.

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<sup>19</sup>This is not straightforward, since the term has been progressively emptied of content through overuse. For instance, journals that prominently advertise their translational focus (e.g., the *Journal of Clinical Investigation*, the *Journal of Translational Medicine*, *Science Translational Medicine*, *Nature Medicine*, *Translational Research: The Journal of Laboratory and Clinical Medicine*, or the *Journal of Experimental Medicine*) would be considered by many physician-scientists to be basic science publication outlets.

While Table 6 presented results on the influence of NIH training on the direction of research pursued in the independent phase of a research career, Appendix Table A5 focuses on providing direct evidence of imprinting during training. To do so, the publication outcomes include only articles that appeared after one year from medical school graduation up to one year after the start of the independent career (to allow for publication lags). Unsurprisingly, NIH trainees publish more than non-attende controls in training. But the type of publication published also differs markedly from that exhibited by control trainees. Attendees’ publications are much less likely to be disease-oriented, for example, regardless of the program track in which they participated.<sup>20</sup> We also find evidence of a more translational style for NIH attendees. Considered as a whole, these results points to a durable intellectual imprint associated with the training received at NIH. Some of the trainees became bench scientists, indistinguishable in their output from PhD-holding scientists trained in biology or other basic science departments. Harold Varmus, who went on to win the Nobel Prize in 1989 for his discovery of oncogenes with J. Michael Bishop, is an exemplar of the subset of trainees who leveraged their training to embark on a career at the laboratory bench. Many others, however, did not foresake clinical work completely, but rather acquired in Bethesda an approach to clinical research that was informed by basic research advances, seeding academia with a new generation of who saw themselves as “physician-scientists” rather than “clinician-researchers.” As discussed below, attempts at replicating the NIH training model outside of its original setting in the decades that ensued have not been particularly successful.

## 4.5 Robustness analyses

We perform a number of robustness checks to probe the sensitivity of our estimates to alternative modeling assumptions and subsamples. Recall that in addition to unconfoundedness, the validity of IPTW estimates requires common support. Figure 3 displays the histogram corresponding to the predicted probabilities generated by the selection model in column 1b of Table 2. One can readily observe that the common support assumption is violated in the tails: our model predicts a high probability of selection for very few controls, and low probability of selection for very few treated applicants. The first three columns of Table 7a vary the extent of winsorization for the regression weights: no winsorization (as in Table 3), winsorization at the 5<sup>th</sup> and 95<sup>th</sup> percentiles of the distribution of lasso weights; and winsorization at the 10<sup>th</sup> and 90<sup>th</sup> percentile of the distribution of lasso weights. The magnitudes of the average treatment effect (corresponding to a single outcome, the num-

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<sup>20</sup>In the subsamples for each track, we only include as controls trainees who applied unsuccessfully for that track.

ber of post-training publications) increases slightly. The violation of the common support assumption is therefore not a first-order concern to assess the robustness of our results

Rather than weighting by the inverse probability of treatment, the next set of estimates uses coarsened exact matching, a blocking technique due to Iacus et al. (2011) to match attendees and non-attendees on a handful of covariates: year of medical school graduation, medical school attended, and quintile of the distribution of the pre-application publication count, weighted by journal impact factor. Any treated applicant for whom we cannot find a matched control based on this list of pre-application covariates is simply dropped from the estimation sample. We find that the estimated treatment effect is similar in magnitude to that reported earlier (Table 3).

The last set of two columns in Table 7a focuses on the subset of 1,829 applicants (59.5% of the sample) who had little—if any—research preparation at the time they applied for the program, as ascertained by a lack of any published output. It is of course possible that interviewers were able to divine research potential at the second stage of the selection process, but they would not have had a strong evidentiary record to back up their intuition. The results show that the magnitude of the average treatment effect is just as high, if not higher, in this subpopulation.

Table 7b reports estimates using the “post-double-selection” lasso (hereafter pds-lasso) estimator due to Belloni et al. (2014). This estimator uses the lasso to select covariates to predict both the treatment and the outcome variable, and then estimates the treatment effect of interest by the linear regression of the outcome on the treatment variable and the union of the set of variables selected in the two variable selection steps. The resulting estimator is “doubly robust” in that it allows for imperfect variable selection in either (but not both) of the covariate selection steps. Since the theoretical properties of the pds-lasso estimator have been demonstrated for a linear model, we apply it to our data using ordinary least squares to model the impact of the NIH ATP on the count of post-training publications.<sup>21</sup> The estimates yielded by this procedure are once again large in magnitude, very similar to those associated with IPTW estimation using OLS, and precisely estimated. The point estimate of 25 extra publications, corresponds to 62% of the raw mean difference in the number of publications between attendees and non-attendees.

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<sup>21</sup>We also use the inverse hyperbolic sine function to transform the publication count. This generates estimates that can approximately be interpreted as elasticities, and therefore be compared to those presented in Table 3.

We also use the bounding technique recently proposed by Oster (2019) to gauge the sensitivity of our results to a failure of the unconfoundedness assumption. The intuition behind this approach is that the stability of the coefficient for the treatment effect when varying the set of control variables included in the model, scaled by movement in  $R^2$ , provides information about the potential impact of unobserved covariates. To generate these bounds, the analyst must assume proportionality between the covariances of the outcome with observed and unobserved covariates, and posit a maximum value for  $R^2$  if the regression could include all observed and unobserved covariates. Oster’s technique generates a bound  $\delta$ , the covariance ratio that would be required to reduce the magnitude of the treatment effect to zero. Table 7c reports the results of this exercise for a number of research outcomes. In all cases,  $\delta$  is far above one, the threshold value recommended by Oster to suggest robustness to the influence of unobservable covariates.

Finally, in Appendix Table A6 we examine whether the effects of program attendance differ according to the track (research associate, clinical associate, or staff associate) for which attendees were selected. Across a broad range of outcome variables, we do not find evidence of markedly different magnitudes across program tracks. Similarly, we find little evidence of significant differences in research productivity or style during training between the program tracks (Table A5). While perhaps surprising, it is important to note that research associates and clinical associates ultimately often worked in the same labs, and the distinction between research and clinical time was not always clear-cut in practice.

## 5 Conclusion

In this article, we examine the role of early career exposure to research on sorting into the “ideas sector” of the economy, as well as research trajectory and productivity within this domain. The NIH ATP had a large impact on attendees’ careers on both the intensive and extensive margins. Attendees entered research positions at higher rates after training and remained in them for longer. They not only published more and earned more grant funding, their work was also more impactful as measured by citations. More specifically, ATP attendees acquired at NIH a more “translational” style of research, with a greater focus on the bench-to-bedside transition. Remarkably, these changes were sustained throughout their subsequent careers. It is notable that, while there are more “superstars” among ATP attendees than in the set of non-attendee controls, the average physician showed a substantial treatment effect as well. All in all, it is a remarkable impact for a two- to three-year training experience. These results depend on the maintained assumption that, conditional on an

extensive list of covariates observable at the time of application, selection into the program was essentially random.

At first blush, this would appear to be an untenable assumption. While we have adopted a variety of econometric strategies to minimize omitted variable bias, we recognize that at least some of our results could be explained by factors observed by the scientists in charge of selecting the trainees, but not by the econometric analyst. Yet, the institutional setting and the details of the selection process suggest that these concerns may loom less large than expected.

Our control group includes only those who have also applied to the program, which eliminates interest in the program as a potential omitted variable (Jones et al. 2018). In addition, the set of non-attendee controls consists exclusively of those who reached the final interview stage for program admittance and are therefore already highly selected. While we would of course prefer to have interview notes to model the influence of unobservable covariates directly, a large literature suggests that unstructured interviews provide only limited additional information, relative to what is observable on a curriculum vitae (Dana et al. 2013, McDaniel et al. 1994, Wiesner and Cronshaw 1988, Huffcutt et al. 1996, Wright et al. 1989). In fact, psychological research has shown that the addition of noisy signals may in fact impair the quality of decision making (Nisbett et al. 1981, Hall et al. 2007). Our reading of this literature leads us to doubt that the unstructured NIH ATP interviews enabled the selection of individuals poised for research greatness. Indeed, medical education is one of a handful of settings where the limited usefulness of interviews has been documented in the field (Milstein et al. 1981).<sup>22</sup> In line with this literature, the oral histories corroborate the difficulty faced by the interviewers in discerning the scientific potential of applicants at such an early career stage. Finally, the evidence on research style does not appear to be consistent with the view that selection alone accounts for the results. It strains credulity that the demand side of this labor market might have been able to evaluate aptitude for translational research specifically, in addition to more general research abilities.

It is likely that attending the NIH ATP may impact career and research trajectories through multiple mechanisms, including skill building, signaling, status, peer, and network effects, or instilling values and aspirations (Argote and Fahrenkopf 2016). Distinguishing between these mechanisms lies outside of the scope of this study, and indeed more than a

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<sup>22</sup>For instance, the University of Texas Medical School at Houston was forced to admit an additional 50 students, all of whom were initially rejected for admission post-interview, due to a legislative decree in 1979; these students had no meaningful difference in clinical performance, academic performance and honors, or attrition at either the end of medical school or the first year of postgraduate training (Devaul et al. 1987).



single mechanism might be responsible for the treatment effects we estimate. It is notable that many physicians in the control group had exposure to research opportunities outside of the NIH; there was only a small difference in total training time compared to ATP attendees relative to the length of the program. This suggests that the NIH treatment entails more than mere exposure to research. At the same time, the research style evidence seems hard to reconcile with a simple status or signaling story.

Table A7 in Appendix A reports the results of an analysis contrasting the effect of different levels in the intensity of treatment, as proxied by the number of years spent in the ATP. Within the set of 1,929 attendees, 12 (0.6%) spent a year or less at NIH, which we interpret as reflecting the decision to quit the program and receive training elsewhere; 1,321 (68.5%) spent exactly two years as trainees; and 596 (30.9%) three years or more.<sup>23</sup> In these analyses, we model ATP attendance as a multi-valued treatment (Imbens 2000), and use an ordered logit specification to generate inverse probability of treatment weights. The results uncover a strong dose-response relationship. Across several outcomes, quitters and non-attendees exhibit similar outcomes (with the caveat that the effect of quitting is very imprecisely estimated). The effect of spending an additional year within the program is large, and precisely estimated. For example, relative to non-attendees, those staying 3 years publish more over their careers (101% vs. 48%), gather more citations (149% vs. 60%) and are more likely to enter a research job after training (23% vs. 15%) than those staying only the two years necessary to fulfill their service obligation. Once again, we must interpret these results with a great deal of caution, since treatment length is endogenous, and after two years, preceptors are presumably better able to ascertain correctly the research potential of a trainee. While not rejecting selection as a plausible mechanism, this dose-response relationship appears inconsistent with an interpretation of the results based on signaling or status, since it is unlikely that additional years spent in the program would shift future employers' perceptions, or elevate one's status even more in the minds of collaborators, funders, editors, and referees.

Many of the ATP alumnis oral histories evoke the feeling of being in the right place at the right time. In light of these accounts, the sociological concept of imprinting offers a powerful lens to interpret our results. This stream of research finds that organizations and individuals often exhibit a sensitive period, during which they are susceptible to external influences and come to reflect aspects of this environment, and these aspects can persist despite subsequent environmental changes (Stinchcombe 1965, Marquis and Tilcsik 2013). While much of the

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<sup>23</sup>This last category includes a small set of about sixty attendees who transitioned from the ATP to another postdoctoral fellowship within NIH, before securing a permanent position.

work on imprinting has focused on firms, there is evidence that imprinting also occurs in the context of individual careers (Burton and Beckman 2007, Boeker 1988, Barron et al. 1999, Hannan et al. 1996, Higgins 2005). During career imprinting, individuals absorb a set of capabilities, connections, and cognitive models from one employer which persist as they change employers later on. Careers are more likely to exhibit the characteristics of an early imprint when their current environment allows them to be surrounded by colleagues with the same imprint, offers them considerable freedom in how they might express an imprint, and if they believe the imprint contributed to prior success (Higgins 2005). The NIH ATP and the academic medicine context would appear particularly conducive to career imprinting: not only was the ATP an intense experience early in the career, when an imprint is more likely to be absorbed, but the program also had many alumni who seeded the expansion of U.S. Medical Schools in the period immediately following the end of the Vietnam War.<sup>24</sup> Finally, academic research offers a considerable degree of leeway to investigators in structuring the direction and style of their research, and the senior NIH investigators who had acted as mentors to the ATP trainees during the program exemplified the creative use of this autonomy.

In light of the unique historical circumstances within which physician research training took place at NIH during the period of our study, we must exercise caution to suggest wider policy implications. Certainly, part of the effectiveness of the ATP in turning physicians into researchers owes much to the extreme concentration of talent in one institution that was facilitated by the Vietnam War. The effects of the ATP may have been large and long-lasting precisely because the exposure received was intense.

While it is difficult to reproduce the impact of the war, there have been no shortage of efforts to create research training programs focused on developing translational researchers. Perhaps most notable of these is the Medical Scientist Training Program (MSTP) by the National Institute of General Medical Sciences at the NIH. The program was founded in 1964 with deep involvement by James Shannon, NIH Director from 1955 to 1968, who also played a prominent role in the early years of the ATP (Harding et al. 2017, Park 2003). The MSTP sought to develop physician-scientists trained to conduct frontier research on disease mechanisms and facilitate bench-to-bedside translation by providing financial support student earning dual MD/PhD degrees. The size of this commitment has not been small. As of 2016, over 10,000 students have received MSTP support with annual outlays of over 100 million dollars (Andriole and Jeffe 2016, Harding et al. 2017). The NIH has developed other

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<sup>24</sup>Between 1975 and 2005, the number of faculty members in US Medical Schools increased by a factor of more than two (authors' computations using the AAMC Faculty Roster).

funding awards to support physicians undertaking translational research, such as the K23 Mentored Patient-Oriented Research Career Development Award. In addition to the efforts of the NIH, there have been no shortage of support for physician-scientists by non-profit foundations (Rosenberg and Ley 2004). The Howard Hughes Medical Institute, Doris Duke Charitable Foundation, Burroughs Wellcome Fund, American Cancer Society and many others all have initiatives aimed at supporting the physician-scientist workforce. Despite these substantial efforts over the past 50 years, including the rise of many ATP alumni to positions of leadership within American medicine where they might be in a position to shape the design of these programs, the anxiety caused by the often-announced “death” of the physician-scientist has not subsided (Wyngaarden 1979, Gill 1984, Goldstein and Brown 1997, Rosenberg and Ley 2004, Schafer 2009).

Outside of the focus on translational research, there have been attempts to recreate the “hot house” environment that characterized the intramural campus of the NIH in the 1960s and 1970s. A prominent example is the Howard Hughes Medical Institutes Janelia Farm Research Campus (Rubin 2006). In addition, there are numerous programs, such as the HHMI Exceptional Research Opportunities Program or NIH Medical Research Scholars Program, that seek to provide structured life-science research experiences to undergraduates, medical and graduate students, and postdoctoral trainees, often aimed at stimulating supply of researchers on the extensive margin. This raises, but does not answer, the question of how much dilution in the intensity of treatment is allowable before any resultant impact starts to fade.

While it is unclear to what extent training programs can be designed to reproduce the effect of the NIH ATP, there is little doubt about its long-run positive impact. In the words of Donald Fredrickson (1988): *“You can say that out of this program, unequivocally, came a remarkable surge of momentum that has set the standard for biomedical research in this country and all over the world.”*

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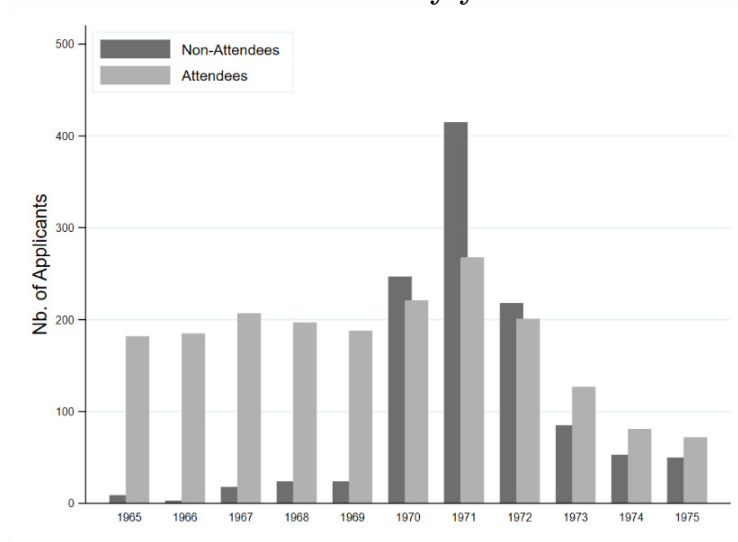
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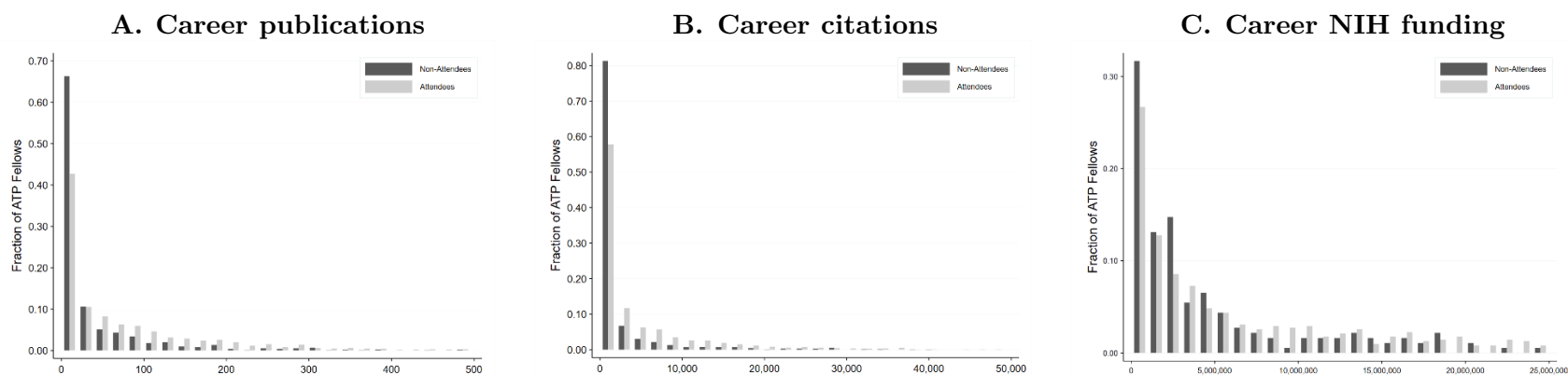


**Figure 1. NIH ATP interviewed candidates by year**



Note: Number of second-round applicants, by year and treatment status. N=3,075 applicants (1,929 attendees; 1,146 non-attendees).

**Figure 2. Career research outcomes**

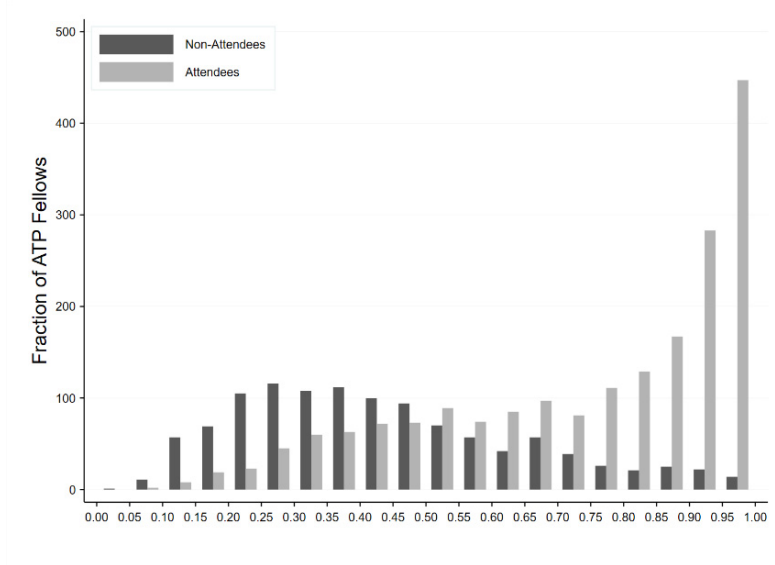


Note: Histogram for the number of original journal publications over the entire post-training career. Twenty four outliers with more than 500 post-training publications omitted. 87% of applicants publish one article or more after career independence (92% of attendees; 79% of non-attendees).

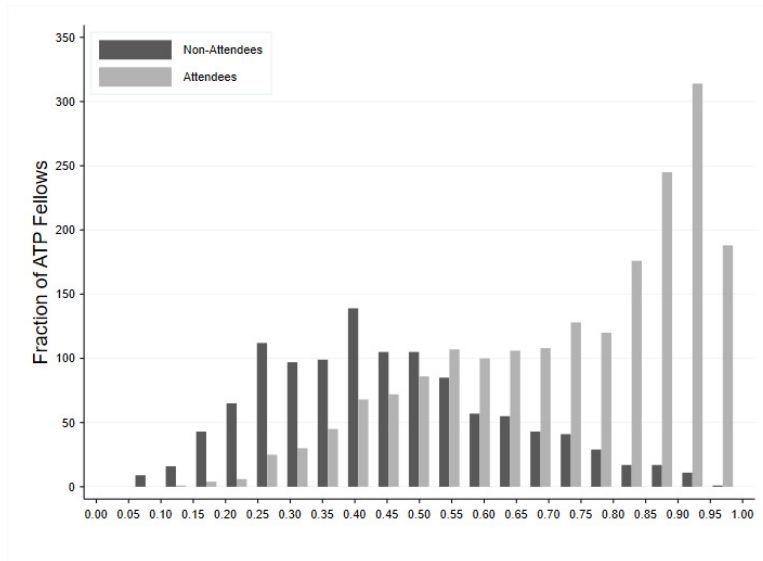
Note: Histogram for the cumulative number of citations to original journal publications published over the entire post-training career. Twenty outliers with more than 50,000 citations omitted (excludes citations to publications as a student or trainee).

Note: Histogram for the cumulative NIH funding received over the entire post-training career (2015 dollars, deflated by the Biomedical R&D PPI). 1,072 fellows receive at least some NIH funding during their career. Two hundred and seventy one outliers with more than \$25 mln. in career funding omitted.

**Figure 3. Predicted probability of selection**



Note: Predicted probabilities from the logit specification reported in Table 2, column (1b).



Note: Predicted probabilities from the lasso penalized logit procedure described in the last paragraph of section 4.1 on page 22 of the manuscript. The correlation coefficient between the two sets of weights is 0.96.

**Table 1a. Descriptive statistics: Pre-application data**

	Mean	Median	Std. Dev.	Min.	Max.
<b>Non-Attendees</b>					
PhD	0.013	0	0.114	0	1
Age in the Year of Last Application	25.931	26	1.427	22	39
Nb. of Applications	1.028	1	0.170	1	3
Draft Lottery Number	187.935	192	108.667	1	366
Draft Lottery Number Called	0.510	1	0.500	0	1
Number of Institutes Applied For	2.948	3	1.944	1	11
Number of Associate Tracks Applied For	1.828	2	0.776	1	3
AΩA Honor Medical Society	0.257	0	0.437	0	1
Pre-ATP Nb. of Publications	0.579	0	1.243	0	13
Pre-ATP JIF-weighted Nb. of Publications	3.259	0	9.854	0	100
NIH Grants for Applicant's Medical School	170,671,976	144,626,928	128,638,273	2,234,396	600,193,216
NIH Grants for Applicant's Internship Hospital	41,501,468	2,522,082	68,795,159	0	35,5121,427
<b>Attendees</b>					
PhD	0.036	0	0.186	0	1
Age in the Year of Last Application	26.016	26	1.428	21	35
Nb. of Applications	1.029	1	0.170	1	3
Draft Lottery Number	183.468	188	105.714	1	366
Draft Lottery Number Called	0.521	1	0.500	0	1
Number of Institutes Applied For	3.933	4	2.237	1	11
Number of Associate Tracks Applied For	2.068	2	0.763	1	3
AΩA Honor Medical Society	0.383	0	0.486	0	1
Pre-ATP Nb. of Publications	1.005	0	1.692	0	14
Pre-ATP JIF-weighted Nb. of Publications	6.598	0	14.499	0	154
NIH Grants for Applicant's Medical School	207,430,439	172,220,944	151,296,844	0	640,648,192
NIH Grants for Applicant's Internship Hospital	51,853,542	7,240,544	70,884,429	0	355,121,427

*Note:* N=3,075 applicants (1,929 attendees; 1,146 non-attendees). For NIH grants, original amounts were deflated using the Biomedical R&D Producer Price Index (2015 dollars). JIF—journal impact factor.

**Table 1b. Descriptive statistics: Career choice**

	Mean	Median	Std. Dev.	Min.	Max.
<b>Non-Attendees</b>					
Deceased	0.074	0	0.262	0	1
Years of Post-graduate Training	5.864	6	1.688	1	13
Nb. of Career Years (censored in 2017)	37.651	39	5.805	0	50
First Job in Academia	0.572	1	0.495	0	1
Ends Career in Academia	0.382	0	0.486	0	1
Researcher First Job	0.460	0	0.499	0	1
Ends Career as Researcher	0.300	0	0.459	0	1
First Job in Clinical Practice	0.535	1	0.499	0	1
Ends Career in Clinical Practice	0.657	1	0.475	0	1
<b>Attendees</b>					
Deceased	0.100	0	0.299	0	1
Years of Post-graduate Training	6.425	6	1.556	1	15
Nb. of Career Years (censored in 2017)	38.149	39	6.389	0	50
First Job in Academia	0.757	1	0.429	0	1
Ends Career in Academia	0.546	1	0.498	0	1
Researcher First Job	0.694	1	0.461	0	1
Ends Career as Researcher	0.519	1	0.500	0	1
First Job in Clinical Practice	0.296	0	0.457	0	1
Ends Career in Clinical Practice	0.441	0	0.497	0	1

**Note:** Academia includes both universities/medical schools and research settings such as the NIH or private non-profit institutes (e.g., The Salk Research Institute). Researcher jobs is different from academia in that it includes for-profit industry research positions but excludes clinical university faculty. Clinical practice includes both those in community practice as well as medical school clinical faculty.

**Table 1c. Descriptive statistics: Research outcomes**

	Mean	Median	Std. Dev.	Min.	Max.
<b>Non-Attendees</b>					
Nb. of Pubs, Training Period	2.396	1	4.080	0	38
Career Nb. of Pubs	37.195	5	79.865	0	826
Career Citations	1,984	126	5,341	0	55,351
Nb. of Patents	0.657	0	3.729	0	51
NIH Grant Recipient	0.198	0	0.399	0	1
Career NIH Grants (\$ 2015)	3,995,023	0	28,695,057	0	801,567,296
Career NIH R01 Grants (\$ 2015)	1,150,862	0	4,882,811	0	74,067,040
<b>Attendees</b>					
Nb. of Pubs, Training Period	6.049	4	6.389	0	65
Career Nb. of Pubs	77.680	34	109.457	0	837
Career Citations	5,130	1235	10,391	0	181,762
Nb. of Patents	1.738	0	6.569	0	163
NIH Grant Recipient	0.438	0	0.496	0	1
Career NIH Grants (\$ 2015)	11,383,375	0	36,109,372	0	761,801,088
Career NIH R01 Grants (\$ 2015)	3,051,958	0	7,881,496	0	97,813,216

**Note:** Except in the first row, all outcomes should be understood to be restricted to output in the post-training (i.e., independent) phase of the career.

**Table 1d. Notable achievements**

	Nobel Prize	Natl. Academies Member	Howard Hughes Med. Investigator	NIH MERIT [R37] Awardee
Non-Attendees	0 (0.00%)	13 (1.13%)	0 (0.00%)	14 (1.22%)
Attendees	7 (0.36%)	90 (4.67%)	32 (1.66%)	79 (4.10%)
Total	7 (0.23%)	103 (3.35%)	32 (1.04%)	93 (3.02%)

**Table 1e. Descriptive statistics: Research style**

	Mean	Median	Std. Dev.	Min.	Max.
<b>Non-Attendees</b>					
Disease-oriented Articles	0.768	1	0.265	0	1
Articles using Molecular Biology Methods	0.159	0	0.212	0	1
Articles using Model Organisms	0.112	0	0.206	0	1
Translational Medicine Articles	0.141	0	0.197	0	1
Articles Cited in Patents	0.078	0	0.125	0	1
Articles Appearing in “Translational” Journals	0.011	0	0.065	0	1
Clinical Trial Articles	0.088	0	0.155	0	1
Inspires Translational Research	0.053	0	0.102	0	1
Builds on Translational Research	0.053	0	0.116	0	1
<b>Attendees</b>					
Disease-Oriented Articles	0.709	1	0.261	0	1
Articles using Molecular Biology Methods	0.259	0	0.238	0	1
Articles using Model Organisms	0.195	0	0.247	0	1
Translational Medicine Articles	0.197	0	0.195	0	1
Articles Cited in Patents	0.112	0	0.125	0	1
Articles Appearing in “Translational” Journals	0.016	0	0.039	0	1
Clinical Trial Articles	0.101	0	0.158	0	1
Inspires Translational Research	0.078	0	0.106	0	1
Builds on Translational Research	0.065	0	0.116	0	1

Note: N=2,583 scientists (492 scientists with zero publications cited at least once in the independent phase of the career are excluded). Statistics correspond to the fraction of each scientist’s work with the corresponding characteristic.

**Table 2. Modeling selection into the NIH ATP**

	All Applicants			Draft Lottery Subsample	
	Parsimonious		Saturated	w/o Draft	w/ Draft
	Model [Logit]		Model [Lasso]	Covariates	Covariates
	(1a)	(1b)	(1c)	(2a)	(2b)
Log(Pre-ATP Nb. of Publications)		0.313** (0.072)	0.340** (0.072)	0.301** (0.079)	0.300** (0.079)
Ln(NIH Grants for Applicant's Medical School)	0.362** (0.089)	0.320** (0.090)		0.248* (0.109)	0.248* (0.109)
Ln(NIH Grants for Applicant's Internship Hospital)	0.015** (0.006)	0.014* (0.006)		0.017** (0.006)	0.017** (0.006)
Draft Lottery Number Called					0.065 (0.103)
PhD	0.927** (0.333)	0.565† (0.340)	0.776* (0.309)	0.753 (0.487)	0.757 (0.489)
No Internship	1.320† (0.746)	1.143 (0.753)	0.037 (1.397)		
Applies more than once	-0.039 (0.297)	-0.093 (0.292)	0.076 (0.270)	0.091 (0.302)	0.088 (0.303)
AΩA Honor Medical Society	0.706** (0.104)	0.715** (0.105)	0.655** (0.101)	0.644** (0.121)	0.643** (0.121)
Medical School Fixed Effects	No	No	Yes	No	No
Internship Hospitals Fixed Effects	No	No	Yes	No	No
Pseudo-R <sup>2</sup>	0.251	0.266		0.147	0.147
Log-likelihood	-1,521	-1,491		-1,121	-1,121
Nb. of Applicants	3,075	3,075	3,075	1,898	1,898

Note: Estimates in columns 1a, 1b, 2a, and 2b stem from logit specifications; the dependent variable is an indicator variable equal to one for attendees, zero for non-attendees. All models incorporate a full suite of medical school graduation year effects; a set of indicator variables for the applicant's age at the time of application; indicator variables for the number of distinct NIH component institutes that received the application; indicator variables for the number of tracks applied to within the Associate Training Program; indicator variables for the number of years between the application and the medical school graduation year; an indicator variable if the applicant applied more than once; and a series of indicator variables capturing if the applicant (1) intended to postpone his internship until after training, (2) intends to perform his internship abroad, (3) intends to intern in a hospital affiliated with the Veterans Affairs Administration, or (4) has missing information regarding his intended internship hospital. All models except (1a) also include an indicator variable for applicants without any publication before application. Estimates in column 1c correspond to the results of a cross-fit partialing-out lasso logit procedure with ten folds, as described in Chernozhukov et al. (2018). The specification includes all the covariates mentioned above, plus a full suite of medical school indicator variables and a full suite of internship hospitals indicator variables, for a total of 372 covariates, 151 of which the procedure selects for inclusion as control variables. The  $\chi^2$  test statistic (i.e., the Wald test of the hypothesis that the coefficients of the five variables of interest—for which inference is performed and are constrained to appear in the model—are jointly equal to zero) is equal to 65.93 ( $p < 0.01$ ). Robust errors in parentheses († $p < 0.10$ , \* $p < 0.05$ , \*\* $p < 0.01$ ).

**Table 3. Research outcomes**

	X-Sect.	Logit Weights		Lasso Weights	
	Naïve	ATE	ATET	ATE	ATET
Career Nb. of Pubs	0.656** (0.076)	0.528** (0.090)	0.520** (0.112)	0.541** (0.079)	0.514** (0.089)
Career Nb. of Pubs, First/Last Authorship Position	0.679** (0.074)	0.538** (0.098)	0.540** (0.125)	0.559** (0.081)	0.548** (0.094)
Career Citations	0.842** (0.098)	0.617** (0.129)	0.638** (0.161)	0.685** (0.108)	0.653** (0.123)
Nb. of Patents	0.922** (0.207)	0.451 <sup>†</sup> (0.235)	0.478 <sup>†</sup> (0.262)	0.667** (0.217)	0.628** (0.237)
Career NIH Grants	0.936** (0.217)	0.618* (0.246)	0.650* (0.270)	0.761** (0.225)	0.733** (0.242)
Career NIH R01 Grants	0.820** (0.155)	0.413* (0.207)	0.433 <sup>†</sup> (0.240)	0.599** (0.167)	0.549** (0.189)
Number of Applicants	3,075	3,075	3,075	3,075	3,075

Note: Each cell contains an estimate for the treatment effect in a separate regression. All estimates stem from Poisson regressions. The dependent variables are listed in the left-most column. All models incorporate a full suite of medical school graduation year effects as well as an indicator variable for holding a PhD degree at the time of application. Exponentiating the coefficients and subtracting one yield magnitudes interpretable as elasticities. For example, the estimate in the first cell imply that attendees publish  $100 \times (\exp[0.656] - 1) = 92.71\%$  more original articles during the independent phase of their career, relative to non-attendees; the effect is highly statistically significant. The last four columns perform inverse probability of treatment weighted estimation; the corresponding estimates can be interpreted as the ATE/ATET of NIH training, under the assumption of unconfoundedness.

Robust errors in parentheses (<sup>†</sup> $p < 0.10$ , \* $p < 0.05$ , \*\* $p < 0.01$ ).



**Table 4. Publication outcomes, by citation quantiles**

	X-Sect.	Logit Weights		Lasso Weights	
	Naïve	ATE	ATET	ATE	ATET
Career Nb. of Pubs, Total (with citation data available)	0.671** (0.077)	0.525** (0.092)	0.523** (0.114)	0.551** (0.080)	0.523** (0.090)
Career Nb. of Pubs Top 50% of the Citation Distribution	0.727** (0.082)	0.559** (0.102)	0.555** (0.127)	0.595** (0.087)	0.563** (0.098)
Career Nb. of Pubs Top 25% of the Citation Distribution	0.771** (0.088)	0.571** (0.116)	0.575** (0.146)	0.622** (0.096)	0.590** (0.111)
Career Nb. of Pubs Top 5% of the Citation Distribution	0.855** (0.105)	0.629** (0.144)	0.629** (0.181)	0.682** (0.118)	0.648** (0.137)
Career Nb. of Pubs Top 1% of the Citation Distribution	0.978** (0.131)	0.761** (0.167)	0.809** (0.205)	0.819** (0.146)	0.792** (0.166)
Career Nb. of Pubs Top 0.1% of the Citation Distribution	1.045** (0.190)	0.803** (0.207)	0.909** (0.226)	0.885** (0.192)	0.849** (0.203)
Number of Applicants	3,075	3,075	3,075	3,075	3,075

**Note:** Each cell contains an estimate for the treatment effect in a separate regression. All estimates stem from Poisson regressions. The dependent variables are listed in the left-most column. All models incorporate a full suite of medical school graduation year effects as well as an indicator variable for holding a PhD degree at the time of application. Exponentiating the coefficients and subtracting one yield magnitudes interpretable as elasticities. For example, the estimate in the bottom cell of the first column imply that attendees publish  $100 \times (\exp[1.045] - 1) = 184\%$  more articles in the top 0.1% of the citation distribution during the independent phase of their career, relative to non-attendees; the effect is highly statistically significant. The last four columns perform inverse probability of treatment weighted estimation; the corresponding estimates can be interpreted as the ATE/ATET of NIH training, under the assumption of unconfoundedness. Robust errors in parentheses ( ${}^{\dagger}p < 0.10$ ,  ${}^*p < 0.05$ ,  ${}^{**}p < 0.01$ ).

**Table 5. Career choice outcomes**

	X-Sect.	Logit Weights		Lasso Weights	
	Naive	ATE	ATT	ATE	ATT
<i>Poisson Estimates</i>					
Years of Post-graduate Training	0.087** (0.011)	0.081** (0.018)	0.072** (0.025)	0.081** (0.014)	0.070** (0.018)
Nb. of Career Years	-0.012† (0.006)	-0.012 (0.008)	-0.016† (0.009)	-0.014* (0.007)	-0.011 (0.008)
<i>Logit Estimates</i>					
First Job in Academia	0.160** (0.018)	0.109** (0.025)	0.074* (0.033)	0.113** (0.020)	0.093** (0.024)
Ends Career in Academia	0.145** (0.020)	0.132** (0.031)	0.085* (0.035)	0.122** (0.023)	0.112** (0.028)
Researcher First Job	0.212** (0.018)	0.180** (0.026)	0.163** (0.034)	0.169** (0.021)	0.158** (0.025)
Ends Career as Researcher	0.216** (0.019)	0.211** (0.029)	0.187** (0.031)	0.185** (0.022)	0.190** (0.026)
First Job in Clinical Practice	-0.212** (0.018)	-0.181** (0.025)	-0.167** (0.033)	-0.169** (0.021)	-0.160** (0.025)
Ends Career in Clinical Practice	-0.215** (0.019)	-0.206** (0.028)	-0.189** (0.031)	-0.180** (0.022)	-0.184** (0.026)
Number of Applicants	3,075	3,075	3,075	3,075	3,075

Note: Each cell contains an estimate for the treatment effect in a separate regression. The dependent variables are listed in the left-most column. All models incorporate a full suite of medical school graduation year effects as well as an indicator variable for holding a PhD degree at the time of application. The last four columns perform inverse probability of treatment weighted estimation; the corresponding estimates can be interpreted as the ATE/ATET of NIH training, under the assumption of unconfoundedness. On the first two rows, the estimates stem from Poisson regressions. Exponentiating the coefficients and subtracting one yield magnitudes interpretable as elasticities. For example, the estimate in the top cell of the first column imply that attendees stay  $100 \times (\exp[0.087] - 1) = 9.1\%$  longer in training, relative to non-attendees; the effect is highly statistically significant. On the next six rows, the estimates stem from logistic regressions. The marginal effects for the treatment indicator are reported. For instance, the coefficient in the third row of the first column implies that attendees are 16.0% more likely than non-attendees to be initially placed in academia after completing their training. Robust errors in parentheses ( $^{\dagger}p < 0.10$ ,  $^*p < 0.05$ ,  $^{**}p < 0.01$ ).

**Table 6: Research style**

	X-Sect.	Logit Weights		Lasso Weights	
	Naive	ATE	ATT	ATE	ATT
Disease-oriented Articles	-0.112** (0.022)	-0.068** (0.026)	-0.085** (0.032)	-0.092** (0.024)	-0.103** (0.027)
Articles w/ Molecular Biology Technique MeSH	0.327** (0.065)	0.140 <sup>†</sup> (0.085)	0.182 <sup>†</sup> (0.093)	0.237** (0.072)	0.226** (0.082)
Articles w/ Model Organism MeSH	0.444** (0.093)	0.366** (0.103)	0.474** (0.103)	0.396** (0.090)	0.410** (0.094)
Translational Medicine Articles	0.155* (0.069)	0.038 (0.087)	0.081 (0.096)	0.093 (0.075)	0.077 (0.085)
Articles Appearing in Translational Journals	0.464** (0.145)	0.366* (0.158)	0.479** (0.168)	0.381* (0.150)	0.400* (0.164)
Inspires Translational Research	0.152 <sup>†</sup> (0.085)	0.139 (0.085)	0.201* (0.088)	0.146 <sup>†</sup> (0.081)	0.154 <sup>†</sup> (0.084)
Builds on Translational Research	0.185 <sup>†</sup> (0.099)	0.250* (0.102)	0.315** (0.112)	0.256** (0.097)	0.257* (0.101)
Articles Cited in Patents	0.290** (0.073)	0.102 (0.097)	0.149 (0.104)	0.202* (0.082)	0.186* (0.092)
Number of Applicants	2,583	2,583	2,583	2,583	2,583

Note: Each cell contains an estimate for the treatment effect in a separate regression. All estimates stem from Poisson regressions, with an offset for the log of overall post-training publications (the sample is limited to the applicants who have at least one such paper), which means we are in effect estimating the effect of treatment on the fraction of publications that are characterized by a particular research style. The dependent variables are listed in the left-most column. All models also include a full suite of medical school graduation year effects as well as an indicator variable for holding a PhD degree at the time of application. Exponentiating the coefficients and subtracting one yield magnitudes interpretable as elasticities. For example, the estimate in the cell at the bottom left imply that attendees increase the fraction of their output that is cited in subsequent patents by a statistically significant  $100 \times (\exp[0.290] - 1) = 33.64\%$ , relative to non-attendees. The last four columns perform inverse probability of treatment weighted estimation; the corresponding estimates can be interpreted as the ATE/ATET of NIH training, under the assumption of unconfoundedness. Robust errors in parentheses (<sup>†</sup> $p < 0.10$ , \* $p < 0.05$ , \*\* $p < 0.01$ ).

**Table 7a: Robustness analyses**

	IPT Lasso Weights			CEM	Zero Pre-ATP Pubs	
	No Winsoring	Winsoring, 95 <sup>th</sup> pctl.	Winsoring, 90 <sup>th</sup> pctl.		Top 10 Med Schools	Other Med Schools
Career Nb. of Pubs	0.541 <sup>***</sup> (0.079)	0.566 <sup>***</sup> (0.084)	0.633 <sup>***</sup> (0.093)	0.593 <sup>***</sup> (0.111)	0.997 <sup>***</sup> (0.158)	0.519 <sup>***</sup> (0.140)
Log Pseudo-Likelihood	-154,821	-132,347	-116,045	-55,233	-47,083	-49,833
Number of Applicants	3,075	2,769	2,461	1,038	851	989

**Note:** Each cell contains an estimate for the treatment effect in a separate regression. All estimates stem from Poisson regressions. All models incorporate a full suite of medical school graduation year effects as well as an indicator variable for holding a PhD degree at the time of application. Exponentiating the coefficients and subtracting one yield magnitudes interpretable as elasticities. For example, the estimate in the first column imply that attendees publish  $100 \times (\exp[0.541] - 1) = 72\%$  more articles during the independent phase of their career, relative to non-attendees. The first three columns vary the sample to reflect the winsorization of the regression weights. In the fourth column, CEM refers to coarsened exact matching, a blocking technique to guarantee balance on a small set of covariates. The last two columns restrict sample to the set of applicants with no research experience prior to application, separately for those having graduated from elite and non-elite medical schools. Robust errors in parentheses (<sup>†</sup> $p < 0.10$ , \* $p < 0.05$ , \*\* $p < 0.01$ ).

**Table 7b: Robustness analyses**

	Nb. of Pubs		$\sinh^{-1}(\text{Nb. of Pubs})$	
	IPT Lasso Weights	Double Lasso	IPT Lasso Weights	Double Lasso
ATE	30.244 <sup>***</sup> (4.010)	25.388 <sup>***</sup> (3.965)	0.906 <sup>***</sup> (0.093)	0.883 <sup>***</sup> (0.086)
Number of Applicants	3,075	3,075	3,075	3,075

**Note:** Each cell contains an estimate for the average treatment effect in a separate regression. All estimates stem from OLS regressions. The dependent variable is either the number of post-training publications in levels (first pair of columns) or the inverse hyperbolic sine of the number of post-training publications (second pair of columns). The first and third columns perform inverse probability of treatment weighted estimation as in Table 3. The second and fourth column report an estimate of the average treatment effect using the “post-double-selection” lasso estimator due to Belloni et al. (2014). Robust errors in parentheses (<sup>†</sup> $p < 0.10$ , \* $p < 0.05$ , \*\* $p < 0.01$ ).

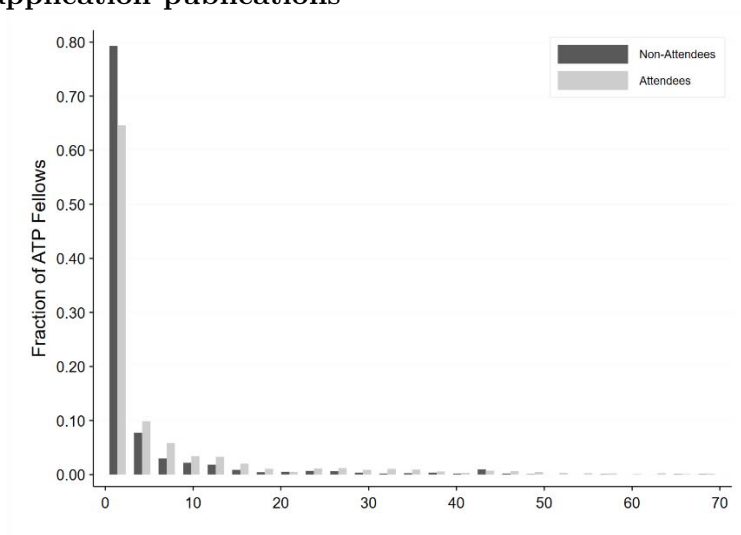
**Table 7c: Robustness analyses**

	Oster’s $\delta$
Career Nb. of Pubs	1.707
Career Nb. of Pubs, Top 5% of the Cit. Distrib.	1.798
Career Nb. of Pubs, Top 1% of the Cit. Distrib.	1.741
Career Citations	1.700
Nb. of Patents	2.285
Career NIH Grants (\$ 2015)	1.496

**Note:** The score reported corresponds to the  $\delta$  parameter from Oster (2019), the ratio between the covariances of the outcome with observed and unobserved covariates, respectively. All outcomes are transformed using the inverse hyperbolic sine function, and  $\delta$  is computed using OLS regression and the list of covariates selected by the pds-lasso estimator of Belloni et al. (2014), and chosen to produce an estimate of the treatment effect equal to zero. We follow Oster’s recommendation of setting  $R^{\max} = 1.3 \times R^2$  from the fully saturated specification.

## Appendix A

**Figure A1. Pre-application publications**



Note: Histogram for the number of original publications published up to the year of ATP application weighted by the journal impact factor of the publication outlet in which they appeared. Twenty four outliers are omitted.

**Table A1. Most common medical schools attended by applicants**

Medical School	Non-Attendees	Attendees	Total
Harvard Medical School	86 (7.50)	274 (14.20)	360 (11.71)
Johns Hopkins University School of Medicine	54 (4.71)	113 (5.86)	167 (5.43)
Columbia University College of Physicians & Surgeons	57 (4.97)	85 (4.41)	142 (4.62)
University of Pennsylvania School of Medicine	53 (4.62)	87 (4.51)	140 (4.55)
New York University School of Medicine	45 (3.93)	84 (4.35)	129 (4.20)
Yale University School of Medicine	52 (4.54)	77 (3.99)	129 (4.20)
Albert Einstein College of Medicine of Yeshiva University	52 (4.54)	63 (3.27)	115 (3.74)
Duke University School of Medicine	22 (1.92)	75 (3.89)	97 (3.15)
SUNY Downstate Medical Center College of Medicine	38 (3.32)	51 (2.64)	89 (2.89)
Cornell University Medical College	30 (2.62)	52 (2.70)	82 (2.67)
<b>Total</b>	<b>489 (42.67)</b>	<b>961 (49.82)</b>	<b>1,450 (47.15)</b>

Note: Column percentages in parentheses.

**Table A2. Occupational breakdown, first position (post-training)**

<b>First Position</b>	<b>Non-Attendees</b>	<b>Attendees</b>	<b>Total</b>
Academic Researcher	509 (44.42)	1,170 (60.65)	1,679 (54.60)
Academic Clinician	131 (11.43)	130 (6.74)	261 (8.49)
NIH Staff Scientist	15 (1.31)	161 (8.35)	176 (5.72)
Solo Clinical Practice	176 (15.36)	143 (7.41)	319 (10.37)
Group Clinical Practice	233 (20.33)	203 (10.52)	436 (14.18)
Hospital Clinical Practice	73 (6.37)	95 (4.92)	168 (5.46)
Industry	2 (0.17)	7 (0.36)	9 (0.29)
Biopharma Consulting	1 (0.09)	1 (0.05)	2 (0.07)
Administrative Position	1 (0.09)	2 (0.10)	3 (0.10)
Health & Science Policy	2 (0.17)	15 (0.78)	17 (0.55)
Miscellaneous	3 (0.26)	2 (0.10)	5 (0.16)
<b>Total</b>	<b>1,146</b> <b>(100.00)</b>	<b>1,929</b> <b>(100.00)</b>	<b>3,075</b> <b>(100.00)</b>
<i>N</i>	<b>3,075</b>		

Note: Column percentages in parentheses.

**Table A3. Occupational breakdown, last position**

<b>Last Position</b>	<b>Non-Attendees</b>	<b>Attendees</b>	<b>Total</b>
Academic Researcher	296 (25.83)	851 (44.12)	1,147 (37.30)
Academic Clinician	134 (11.69)	168 (8.71)	302 (9.82)
NIH Staff Scientist	8 (0.70)	34 (1.76)	42 (1.37)
Solo Clinical Practice	209 (18.24)	222 (11.51)	431 (14.02)
Group Clinical Practice	317 (27.66)	344 (17.83)	661 (21.50)
Hospital Clinical Practice	93 (8.12)	116 (6.01)	209 (6.80)
Industry	23 (2.01)	79 (4.10)	102 (3.32)
Biopharma Consulting	17 (1.48)	38 (1.97)	55 (1.79)
Administrative Position	24 (2.09)	50 (2.59)	74 (2.41)
Health & Science Policy	15 (1.31)	18 (0.93)	33 (1.07)
Miscellaneous	10 (0.87)	9 (0.47)	19 (0.62)
Total	1,146 (100.00)	1,929 (100.00)	3,075 (100.00)
<i>N</i>	3,075		

Note: Column percentages in parentheses.

**Table A4. Research outcomes conditional on research job**

	X-Sect.	Logit Weights		Lasso Weights	
	Naïve	ATE	ATET	ATE	ATET
Career Nb. of Pubs	0.305** (0.070)	0.237** (0.083)	0.257* (0.103)	0.270** (0.073)	0.267** (0.083)
Career Nb. of Pubs, First/Last Authorship Position	0.330** (0.068)	0.251** (0.090)	0.281* (0.115)	0.291** (0.075)	0.304** (0.089)
Career Citations	0.488** (0.093)	0.331** (0.125)	0.381* (0.153)	0.417** (0.103)	0.411** (0.119)
Nb. of Patents	0.567** (0.220)	0.203 (0.257)	0.257 (0.286)	0.404† (0.235)	0.403 (0.259)
Career NIH Grants	0.542* (0.217)	0.305 (0.255)	0.348 (0.275)	0.453* (0.231)	0.444† (0.249)
Career NIH R01 Grants	0.422** (0.148)	0.101 (0.198)	0.147 (0.228)	0.291† (0.161)	0.267 (0.183)
Number of Applicants	1,866	1,866	1,866	1,866	1,866

**Note:** Each cell contains an estimate for the treatment effect in a separate regression. All estimates stem from Poisson regressions. The dependent variables are listed in the left-most column. All models incorporate a full suite of medical school graduation year effects as well as an indicator variable for holding a PhD degree at the time of application. Exponentiating the coefficients and subtracting one yield magnitudes interpretable as elasticities. For example, the estimate in the first cell imply that attendees publish  $100 \times (\exp[0.305] - 1) = 35.67\%$  more original articles during the independent phase of their career, relative to non-attendees; the effect is highly statistically significant. The last four columns perform inverse probability of treatment weighted estimation; the corresponding estimates can be interpreted as the ATE/ATET of NIH training, under the assumption of unconfoundedness.

Robust errors in parentheses († $p < 0.10$ , \* $p < 0.05$ , \*\* $p < 0.01$ ).



**Table A5. Research outcomes and style during training, by program track**

	All Associates		Research Assoc.		Clinical Assoc.		Staff Assoc.	
	Naive	ATE	Naive	ATE	Naive	ATE	Naive	ATE
<i>Poisson Estimates</i>								
Nb. of Pubs, Training Period	0.878** (0.059)	0.747** (0.078)	0.888** (0.074)	0.796** (0.090)	0.927** (0.064)	0.799** (0.077)	0.953** (0.108)	0.793** (0.127)
Number of Applicants	3,075	3,075	1,940	1,940	2,460	2,460	1,044	1,044
<i>Poisson Estimates with offset</i>								
Disease-oriented Articles	-0.168** (0.025)	-0.149** (0.025)	-0.191** (0.035)	-0.173** (0.035)	-0.131** (0.026)	-0.113** (0.026)	-0.204** (0.056)	-0.212** (0.054)
Articles w/ Molecular Biology Technique MeSH	0.539** (0.067)	0.565** (0.080)	0.464** (0.086)	0.530** (0.086)	0.556** (0.070)	0.530** (0.071)	0.358** (0.112)	0.375** (0.116)
Articles w/ Model Organism MeSH	0.430** (0.077)	0.373** (0.085)	0.456** (0.099)	0.310** (0.111)	0.408** (0.088)	0.347** (0.098)	0.329* (0.134)	0.295* (0.145)
Translational Medicine Articles	0.183** (0.067)	0.198** (0.072)	0.220* (0.088)	0.211* (0.089)	0.273** (0.076)	0.244** (0.078)	0.109 (0.137)	0.062 (0.123)
Inspires Translational Research	0.085 (0.106)	0.146 (0.112)	0.177 (0.130)	0.246 <sup>†</sup> (0.144)	0.211 <sup>†</sup> (0.119)	0.264* (0.128)	0.087 (0.224)	0.206 (0.205)
Builds on Translational Research	0.158 (0.200)	0.297 (0.219)	0.212 (0.244)	0.425 <sup>†</sup> (0.252)	0.313 (0.204)	0.456* (0.214)	0.167 (0.366)	0.105 (0.369)
Number of Applicants	2,484	2,484	1,623	1,623	1,966	1,966	860	860

**Note:** Each cell contains an estimate for the treatment effect in a separate regression. The dependent variables are listed in the left-most column. All models incorporate a full suite of medical school graduation year effects as well as an indicator variable for holding a PhD degree at the time of application. The second, fourth, sixth, and eighth columns perform inverse probability of treatment weighted estimation as computed from lasso specifications very similar to that appearing in Table 2; the corresponding estimates can be interpreted as the ATE of NIH training, under the assumption of unconfoundedness. On the first row, the estimates stem from Poisson regressions. Exponentiating the coefficients and subtracting one yield magnitudes interpretable as elasticities. For example, the estimate in the top cell of the first column imply that attendees publish  $100 \times (\exp[0.878] - 1) = 140.61\%$  more publications during training relative to non-attendees; the effect is highly statistically significant. The remaining rows report estimates from Poisson regressions with an offset for the log of overall number of publications during training, which means we are in effect estimating the effect of treatment on the fraction of publications that are characterized by a particular research style. The number of applicants includes all those known to have applied to the corresponding track within the Associate Training Program. Robust errors in parentheses (<sup>†</sup> $p < 0.10$ , \* $p < 0.05$ , \*\* $p < 0.01$ ).

**Table A6. Research outcomes and style, by program track**

	All Associates		Research Assoc.		Clinical Assoc.		Staff Assoc.	
	Naive	ATE	Naive	ATE	Naive	ATE	Naive	ATE
<i>Poisson Estimates</i>								
Career Nb. of Pubs	0.656** (0.076)	0.541** (0.079)	0.654** (0.095)	0.585** (0.098)	0.654** (0.086)	0.545** (0.087)	0.660** (0.134)	0.516** (0.145)
Number of Applicants	3075	3075	1,940	1,940	2,460	2,460	1,044	1,044
<i>Logit Estimates</i>								
First Job in Academia	0.160** (0.018)	0.113** (0.020)	0.147** (0.022)	0.103** (0.026)	0.152** (0.020)	0.109** (0.023)	0.189** (0.029)	0.138** (0.033)
Number of Applicants	3075	3075	1,940	1,940	2,460	2,460	1,044	1,044
<i>Poisson Estimates with offset</i>								
Inspires Translational Research	0.152 <sup>†</sup> (0.085)	0.146 <sup>†</sup> (0.081)	0.195 <sup>†</sup> (0.110)	0.138 (0.112)	0.256** (0.099)	0.187 <sup>†</sup> (0.098)	0.000 (0.150)	-0.102 (0.138)
Builds on Translational Research	0.185 <sup>†</sup> (0.099)	0.256** (0.097)	0.167 (0.119)	0.201 (0.123)	0.304** (0.108)	0.292** (0.112)	0.221 (0.152)	0.187 (0.164)
Number of Applicants	2,583	2,583	1,684	1,684	2,060	2,060	898	898

**Note:** Each cell contains an estimate for the treatment effect in a separate regression. The dependent variables are listed in the left-most column. All models incorporate a full suite of medical school graduation year effects as well as an indicator variable for holding a PhD degree at the time of application. The second, fourth, sixth, and eighth columns perform inverse probability of treatment weighted estimation as computed from lasso specifications very similar to that appearing in Table 2; the corresponding estimates can be interpreted as the ATE of NIH training, under the assumption of unconfoundedness. On the first row, the estimates stem from Poisson regressions. Exponentiating the coefficients and subtracting one yield magnitudes interpretable as elasticities. For example, the estimate in the top cell of the first column imply that attendees publish  $100 \times (\exp[0.656] - 1) = 92.71\%$  more publications after career independence, relative to non-attendees; the effect is highly statistically significant. On the second row, the estimates stem from logistic regressions. The marginal effects for the treatment indicator are reported. For instance, the coefficient in the second row of the first column implies that attendees are 16.0% more likely than non-attendees to be initially placed in academia after completing their training. The final two rows report estimates from Poisson regressions with an offset for the log of overall post-training publications, which means we are in effect estimating the effect of treatment on the fraction of publications that are characterized by a particular research style. The number of applicants includes all those known to have applied to the corresponding track within the Associate Training Program. Robust errors in parentheses (<sup>†</sup> $p < 0.10$ , \* $p < 0.05$ , \*\* $p < 0.01$ ).

**Table A7. Intensity of Treatment Effects (dose-response relationship)**

	Publications	Citations	NIH Funding	Patents	Academic First Job	Academic Last Job	Research First Job	Reserch Last Job
One year of NIH training	0.126 (0.358)	0.060 (0.572)	-1.532* (0.629)	-0.584 (0.840)	0.066 (0.144)	0.126 (0.153)	0.144 (0.151)	0.158 (0.144)
Two years of NIH training	0.391** (0.083)	0.471** (0.113)	0.495* (0.208)	0.504* (0.257)	0.095** (0.023)	0.098** (0.031)	0.152** (0.025)	0.163** (0.031)
Three years of NIH training	0.700** (0.095)	0.913** (0.120)	1.235** (0.297)	0.869** (0.236)	0.173** (0.031)	0.189** (0.033)	0.230** (0.032)	0.248** (0.031)
Log Pseudo-Likelihood	-171,353	-14,110,732	-56,812,065,633	-10,056	-1,788	-2,096	-1,945	-2,040
Number of Applicants	3,075	3,075	3,075	3,075	3,075	3,075	3,075	3,075

Note: Each column reports estimates from a regression of the outcome listed in the header on four indicator variables corresponding to different intensities of treatment, as well as a full suite of medical school graduation year effects and an indicator variable for holding a PhD degree at the time of application. No NIH training is the omitted category of treatment intensity. The estimates stem from Poisson regressions (first four columns) and logit regressions (last four columns). For the Poisson estimates, exponentiating the coefficients and subtracting one yield magnitudes interpretable as elasticities. For the logit regressions, marginal effects are reported. Each observation is weighted by its inverse probability of treatment, as computed from a separate ordered logit specification very similar to that appearing in Table 2. The corresponding estimates can be interpreted as the ATE of NIH training, under the assumption of unconfoundedness. Robust errors in parentheses (<sup>†</sup> $p < 0.10$ , \* $p < 0.05$ , \*\* $p < 0.01$ ).

## Appendix B

### Measures of Research Style

This appendix describes the method of identifying the research style of publications for the physicians in our sample. To characterize research style, we take advantage of MeSH terms, a hierarchical controlled vocabulary thesaurus maintained by the National Library of Medicine. The National Library of Medicine employs professional indexers to select MeSH indexing terms for biomedical publications according to specific protocol and considers each article in the context of the entire collection. Importantly, given the subjectivity of any indexing task, the authors are not involved in the process of selecting MeSH terms.

Disease-oriented articles were identified as all those under the MeSH tree disease category (C01-C26). We excluded C22, which primarily measures veterinary diseases, and included F03, mental disorders. All together this measure includes 3,038 unique MeSH terms. Example terms include *hematuria*, *aortic valve stenosis*, and *Klippel-Feil Syndrome*. Some microbiologic agents, such as *Escherichia coli*, may be both pathologic causes of disease as well as common organisms in molecular biology research. To ensure results are not being driven by conflation of microbiologic disease with a research model organism, a second measure of disease-orientation was constructed as above but dropping all bacterial infectious disease terms (C01) with similar results obtained.

Articles using molecular biology methods were identified based on the MeSH category for investigative techniques (F05). These were manually reviewed to eliminate any terms which may have a potential direct clinical application outside of a laboratory, such as *angioplasty* or *glasgow coma scale*. The final list of MeSH codes includes: E05.017, E05.118, E05.181, E05.191, E05.196 (but excluding E05.196.353), E05.197, E05.198, E05.242.800, E05.295, E05.301, E05.313, E05.318.416, E05.393, E05.478, E05.481, E05.484, E05.490, E05.522, E05.588, E05.591, E05.595, E05.598, E05.601, E05.624, E05.650, E05.657, E05.790, E05.793, E05.830. Together, these codes identify 466 unique MeSH terms with examples including *immunolectrophoresis*, *nucleic acid hybridization*, and *real-time polymerase chain reaction*.

To construct a measure of the use of a model organism in research, we compiled a list of 52 different model organisms used in biomedical research: *tobacco mosaic virus*, *bacteriophage λ*, *bacteriophage φX174*, *SV40*, *T4 phage*, *Escherichia coli*, *Bacillus subtilis*, *Caulobacter crescentus*, *Aliivibrio fischeri*, *Synechocystis*, *Pseudomonas fluorescens*, *Azotobacter vinelandii*, *Streptomyces coelicolor*, *Chlamydomonas reinhardtii*, *Dictyostelium discoideum*, *Tetrahymena thermophila*, *Eremothecium*, *Aspergillus nidulans*, *Coprinus cinereus*, *Cryptococcus neoformans*, *Ceurospora crassa*, *Saccharomyces cerevisiae*, *Schizophyllum*, *Schizosaccharomyces pombe*, *Ustilago maydis*, *Arbacia punctulata*, *Aplysia*, *Caenorhabditis elegans*, *Ciona intestinalis*, *Drosophila*, *Loligo pealei*, *Trichoplax adhaerens*, *Ambystoma mexicanum*, cat, chicken, dog, *Mesocricetus auratus*, guinea pigs, *Oryzias latipes*, mice, genetically modified animals (B01.050.050.136 and B01.050.050.199.520), mole-rat, pigeon, *Poecilia reticulata*, rat, *Rhesus macaque*, *Petromyzon marinus*, *Takifugu*, *Xenopus laevis*, and zebrafish. The associated MeSH codes for these organisms resulted in 122 unique MeSH terms. As a robustness check to ensure the result was not driven by microbiologic model organisms which may also be pathologic diseases, as well as for clarity given the large number of organisms, a second measure limited only to major non-microbiologic organisms was constructed. This consisted of the MeSH codes for the following model organisms: *Caenorhabditis elegans*, *Drosophila*, zebrafish, mice, genetically modified animals (examples under this category include knockout and SCID mice), and *Rhesus macaque*. This subset contained a total of 64 unique MeSH terms. Results were similar using this alternative measure of model organism.

Clinical trial articles were identified by two approaches. First, we used MeSH terms for publication type (V03.175) as well as topic (E05.318.372.250, E05.318.760.250, N05.715.360.775.088, N06.850.520.450.250). These MeSH codes resulted in 22 unique MeSH terms. Examples include *clinical trial, phase II*; *randomized*

*controlled trial*; and *observational study*. As a second measure, we identified all those papers tagged in *PubMed* with a publication type containing the term *trial*. Example publication types include *adaptive clinical trial*; *clinical trial, phase III*; and *randomized controlled trial*.